

SC Part C

FFY2015 State Performance Plan / Annual Performance Report

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

Executive Summary:

In September 2016, Governor Haley designated through Executive Order a change in the State Lead Agency for the BabyNet Early Intervention System from South Carolina First Steps to School Readiness to the South Carolina Department of Health and Human Services. While the Executive Order is effective July 1, 2017, the new lead agency is currently engaged in a review of all required early intervention system components, BabyNet Policies and Procedures, provider and agency contracts, and structure and functions of the System Point of Entry offices. Additionally, SCDHHS is an active participant in meetings of the State Interagency Coordinating Council and other state leadership functions.

Attachments

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No APR attachments found.		

General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The South Carolina Early Intervention System under Part C of IDEA, hereafter referred to as BabyNet (BN), uses multiple sources of information in its current monitoring system for timely identification and correction of non-compliance. Sources include reports from the state's electronic educational record and data system (BRIDGES), requests for hard copies of documentation not contained in the electronic record, investigation of formal complaints, and audit results. Because BN does not have a single line of authority, each Participating State Agency (PSA) also conducts internal monitoring and provides results to the Lead Agency (LA) upon request. Annually, Family Satisfaction surveys are mailed to all families whose children have an active enrollment status, and Family Outcome surveys are mailed to all exited families each month; both of these serve as a rich source of information for targeted monitoring and are often used in determining technical assistance needs.

In the state's grant application for FFY 2014, revised policies for complaint investigation and procedural safeguards were submitted for review. Since September 2014, the BabyNet Program Managers' work group has worked closely with the state contact from Office of Special Education Programs, Division of Monitoring and State Improvement Planning to revise the policies and procedures for timely identification and correction of non-compliance. Both of these documents are on file with OSEP.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The BN system uses a multi-tiered system of technical assistance. At the state level, listservs and webinars are used for system-wide distribution of information and resources. Four state-level staff provide regionalized technical assistance to service coordination vendors and service providers within assigned counties, and conduct quarterly face-to-face meetings open to all local BN System Personnel. One state-level staff is assigned to Early Intervention Service Providers to assist with questions relating to contract requirements, payor of last resort requirements, and billing. The LA contracts with the state's University Center of Excellence in Developmental Disabilities (UCEDD) through the University of South Carolina School of Medicine, Center for Disability Resources, for the provision of technical assistance supporting development of demonstrable knowledge and skills related to IDEA statute and regulations; use of evidence-based practices in service coordination, development/review/evaluation of the Individualized Family Service Plan (IFSP), and service delivery; and, data collection and reporting.

The BN System Point of Entry Offices (SPOE), the South Carolina Department of Disabilities and Special Needs (SCDDSN), and the South Carolina School for the Deaf and the Blind (SCSDB) each have state staff assigned to the role of BabyNet Program Manager for the purpose of communication and technical assistance directly to the BN personnel of these PSAs. Personnel of the PSAs, together with the service provider network, constitute the local early intervention system, with many areas of the state meeting monthly to identify issues and technical assistance needs.

As a result of the infrastructure analysis for Phase I of Indicator 11 (State Systemic Improvement Plan/SSIP), it is anticipated there will be significant changes to the structure, methods, content, and delivery mechanisms of the state's technical assistance system.

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Professional Development System:

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The LA also contracts with the state's UCEDD for administration of the BabyNet Comprehensive System of Personnel Development. Deliverables for the CSPD include 1) credentialing to ensure all BN System Personnel meet state qualifications and standards, 2) an online training curriculum including a core required of all service coordinators and service providers, and 3) development and/or dissemination of face-to-face training opportunities.

Personnel qualifications were initially established in 2004, and include all state-established and professional association requirements for all early intervention disciplines and roles based on licensure, certification, and/or registration. Personnel standards were also developed in 2004, based on the Council for Exceptional Children, Division of Early Childhood Recommended Practices. Beginning in February, 2104, both BabyNet personnel qualifications and standards are under review by an interdisciplinary stakeholder group facilitated by staff of the UCEDD, to review current qualifications and standards following passage by the South Carolina General Assembly of teacher certification in early childhood special education in 2011, and release of revised Recommended Practices by CEC/DEC in 2014.

A streamlined process is in place for new system personnel to submit one application that covers all necessary information for contracting, credentialing, the BabyNet Central Directory, and access to the electronic educational record and data system. The core of the online training curriculum includes an introduction to BabyNet, requirements for the BabyNet Service Delivery System, and practice guidelines for development, implementation, and review/evaluation of the Individualized Family Service Plan. The infrastructure analysis for Indicator 11 suggests potential additional content to the BabyNet core curriculum may include : 1) CSPD requirements (personnel qualifications, personnel standards, and use of evidence-based practices; 2) use of the electronic educational record and data system; 3) requirements for timely identification and correction of non-compliance; 4) Early Childhood Outcomes and Family Outcomes; and 5) state-specific procedures for early identification of toddlers with Autism Spectrum Disorder. Additional role-based modules are also under consideration with content specific to service coordination, service provision, and special instruction.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The South Carolina Interagency Coordinating Council for the BabyNet early intervention system currently has 16 appointed members. It is anticipated that additional appointments will be made in FFY 2016 as state leadership transitions from Gov. Haley to Gov. McMaster. In addition to appointed members, the ICC meetings are typically attended by family members, service coordinators, service providers, Participating State Agency Program Managers, the Parent Training and Information Center, Early Head Start, inclusion initiatives. The ICC and other interested members of the public provided input on targets for the Annual Performance Report for FFY 2015 in meetings of December 2016 and January 2017.

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Reporting to the Public:

How and where the State reported to the public on the FFY 2014 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2014 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2014 APR in 2016, is available.

All publicly reported information is located on the website for the Lead Agency, South Carolina First Steps to School Readiness at <http://scfirststeps.org/> under the BabyNet tab link for State and Federal Reporting.

Attachments

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No APR attachments found.		

Actions required in FFY 2014 response

OSEP Response

The State has not publicly reported on the FFY 2014 (July 1, 2014-June 30, 2015) and FFY 2013 (July 1, 2013-June 30, 2014) performance of each EIS program or provider located in the State on the targets in the State's performance plan as required by section 616(b)(2)(C)(ii)(I) of IDEA.

South Carolina's IDEA Part C grant award has been under special conditions regarding the correction of findings of noncompliance from FFY 2008 through FFY 2016. OSEP's 2016 determination letter and the State's FFY 2/3/2020

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

2016 Special Conditions required the State to submit a corrective action plan (CAP) by August 1, 2016 and a report by February 1, 2017 with specified information regarding the correction of previously-identified noncompliance. OSEP responded to the State's CAP on January 25, 2017. OSEP has responded to the State's correction data that was due February 1, 2017 under Indicators 1, 7 and 8C.

OSEP will respond separately to the State's FFY 2016 IDEA Part C special conditions.

The State should refer to Indicator 11 to review language related to the State's failure to submit an SSIP as of the end of the clarification period.

Required Actions

The State has not publicly reported on the FFY 2014 (July 1, 2014-June 30, 2015) performance of each EIS program or provider located in the State on the targets in the State's performance plan as required by section 616(b)(2)(C)(ii)(I) of IDEA. With its FFY 2016 SPP/APR, the State must provide a Web link demonstrating that the State reported to the public on the performance of each early intervention service program or provider located in the State on the targets in the SPP/APR for FFY 2014. In addition, the State must report with its FFY 2016 SPP/APR, how and where the State reported to the public on the FFY 2015 performance of each early intervention service program or provider located in the State on the targets in the SPP/APR.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		95.00%	92.00%	76.00%	74.50%	85.00%	73.00%	83.00%	87.00%	85.32%	NVR

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
1685	5233	NVR	100%	32.20%

Number of documented delays attributable to exceptional family circumstances

This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.

0

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Reporting period for this Indicator was 01jul15-30jun16.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

As described above, the data have been validated based on submission of service logs by BabyNet Service providers into the BRIDGES System, and includes only those children for whom a service initiation date was reported.

Actions required in FFY 2014 response

The State did not provide valid and reliable data for FFY 2014. The State must provide valid and reliable data for FFY 2015 in the FFY 2015 SPP/APR.

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Responses to actions required in FFY 2014 response, not including correction of findings

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Data reported for FFY 2015 have been determined by the State to be valid and reliable based on the following parameters:

1. only children with completed service logs indicating the first date of service have been included in the report.
2. untimely services include both instances when services were initiated beyond the State's definition of 30 calendar days from the date the service was first identified in an IFSP, and instances when the service provider failed to provide documentation of indicating the first date of service.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
65	52	0	13

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings for FFY 2014 for this Indicator were based on service coordinator activities related to ensuring access to providers of services listed on Individualized Family Service Plans. Service coordination vendors with findings of non-compliance are monitored through a combination of the State's data system by Regional Part C Coordinators and file reviews by the BabyNet Accountability Audit Team to ensure correct implementation of regulatory requirements as defined in the State Performance Plan/Annual Performance Report Measurement Table.

Describe how the State verified that each individual case of noncompliance was corrected

The State uses the BabyNet Data System, BRIDGES, to verify that in each instance of non-compliance the child received services prior to aging out of the system, and that the provider was timely in delivery of services to children served subsequent to the original instance(s) of non-compliance.

FFY 2014 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

Staff of BabyNet State Office, including the Regional Part C Coordinators and the BabyNet Accountability Audit Team, continue to work with service coordination vendors to ensure understanding of the related requirements for this Indicator, appropriate documentation of efforts to identify and contact providers, and correct procedures for authorizing services in the Individualized Family Service Plan.

To address areas of the state where services are delayed due to reports of provider shortages, the Team for Early Childhood Solutions disseminates a monthly report indicating by service, service coordinator and zip code, children for whom the service coordinator has indicated that no provider is available. Service providers who may not typically serve a county or area of the state with provider shortages are instructed to contact the service coordinator indicating their availability.

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings for FFY 2013 for this Indicator were based on service coordinator activities related to ensuring access to providers of services listed on Individualized Family Service Plans. Service coordination vendors with findings of non-compliance are monitored through a combination of the State's data system by Regional Part C Coordinators and file reviews by the BabyNet Accountability Audit Team to ensure correct implementation of regulatory requirements as defined in the State Performance Plan/Annual Performance Report Measurement Table.

Describe how the State verified that each individual case of noncompliance was corrected

The State uses the BabyNet Data System, BRIDGES, to verify that in each instance of non-compliance the child received services prior to aging out of the system, and that the provider was timely in delivery of services to children served subsequent to the original instance(s) of non-compliance.

OSEP Response

The State did not provide valid and reliable data for FFY 2014. The State was required to provide valid and reliable data for FFY 2015 in the FFY 2015 SPP/APR. The State provided the required information.

As explained in OSEP's June 28, 2016 determination letter and the Special Conditions on South Carolina's IDEA Part C FFY 2016 grant award, the State was required to submit a Corrective Action Plan (CAP) by August 1, 2016 and a report by February 1, 2017 that includes the analysis of the extent to which the State's FFY 2015 data for indicator 1 are valid and reliable, and how the State made that determination. As acknowledged in OSEP's January 24, 2017 response to the State's August 1, 2016 CAP, the State reported a list of activities including hiring and repurposing staff and letters to BabyNet staff listing State-specific data requirements. In its APR submission that was due February 1, 2017 the State specifically addressed the steps being taken to ensure reporting of valid and reliable data under Indicator 1.

In addition, the State was required to report by February 1, 2017 on the status of correction of the remaining FFYs 2013 and 2014 findings regarding the timely service provision requirements of Indicator 1. In the State's August 9, 2016 CAP, the State reported that 32 of 38 of its FFY 2013 and 52 of 65 of its FFY 2014 findings for Indicator 1 were corrected. In its FFY 2015 APR, the State reported correction of 52 of 65 of its FFY 2014 findings and correction of its outstanding FFY 2013 findings. OSEP will respond separately to the State's special conditions.

Because the State reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. In addition, the State must demonstrate, in the FFY 2016 SPP/APR, that the remaining 13 uncorrected findings of noncompliance identified in FFY 2014 were corrected.

When reporting on the correction of noncompliance, the State must report, in the FFY 2016 SPP/APR, that it has verified that each EIS program or provider with findings of noncompliance identified in FFY 2015 and each EIS program or provider with remaining noncompliance identified in FFY 2014: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2016 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2015, although its FFY 2015 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2015.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			96.00%	96.00%	96.00%	96.00%	96.00%	96.00%	99.00%	99.00%	99.00%
Data		86.00%	86.00%	82.00%	84.00%	99.00%	99.00%	99.00%	99.00%	99.40%	NVR

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	97.64%	97.64%	97.64%	99.00%

Key:

Explanation of Changes

All children served in FFY 2015 have entered BabyNet under the revised (i.e., more restrictive) eligibility criteria established by the State in FFY 2011, and generally present with more significant delays and complex needs than children served in previous years. This change in child demographics is reflected in the gradual decline in state performance beginning in FFY 2014, and accounts for state slippage for this Indicator. The BabyNet State Office Team will continue to engage service coordinators and service providers through monitoring, technical assistance, and accountability audits to ensure accurate reporting for this indicator, and identify instances where services are provided outside the family's home and community routines and activities to determine if there is appropriate justification by the IFSP team to do so. In an effort to address long-standing provider shortages, contracts to non-natural environment providers were awarded under the South Carolina Department of Health and Environmental Control were continued under South Carolina First Steps to School Readiness. With the upcoming change in State Lead Agency, all service provider contracts are scheduled for review based on performance and compliance. Per input from the State Interagency Coordinating Council, targets for this indicator are being reset to FFY 2015 performance.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	3,884	
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Total number of infants and toddlers with IFSPs	3,978	

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
3,884	3,978	NVR	97.64%	97.64%

Actions required in FFY 2014 response

The State did not provide valid and reliable data for FFY 2014. The State must provide valid and reliable data for FFY 2015 in the FFY 2015 SPP/APR.

Responses to actions required in FFY 2014 response

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

The State has determined the data for this indicator to be valid and reliable based on the following parameters:

1. the service setting is determined by the IFSP Team for each child;
2. any change in service setting from less to more restrictive, i.e., outside the natural environment, requires a review of the IFSP and justification to provide services in a more restrictive setting; and
3. the justification must include documentation that delivery of services in the natural environment did not result in child progress and that a variety of strategies were employed.

OSEP Response

The State revised its targets for FFYs 2015, 2016, 2017 for this indicator, and OSEP accepts those targets.

The State did not provide valid and reliable data for FFY 2014. The State was required to provide valid and reliable data for FFY 2015 in the FFY 2015 SPP/APR. The State provided the required information.

Required Actions

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2008	Target ≥						80.00%	80.00%	85.00%		80.00%	80.00%
		Data					80.00%	80.00%	85.00%	81.00%	82.00%	79.56%	79.86%
A2	2013	Target ≥						67.00%	65.00%	66.00%		59.00%	59.00%
		Data					67.00%	65.00%	66.00%	63.00%	59.00%	59.25%	53.46%
B1	2008	Target ≥						82.00%	81.00%	85.00%		82.00%	82.00%
		Data					82.00%	81.00%	85.00%	82.00%	82.00%	80.53%	81.90%
B2	2013	Target ≥						64.00%	63.00%	63.00%		54.00%	54.00%
		Data					64.00%	63.00%	63.00%	60.00%	56.00%	54.54%	49.94%
C1	2008	Target ≥						82.00%	81.00%	86.00%		82.00%	82.00%
		Data					82.00%	81.00%	86.00%	82.00%	82.00%	82.01%	81.90%
C2	2013	Target ≥						69.00%	66.00%	66.00%		57.00%	57.00%
		Data					69.00%	66.00%	66.00%	65.00%	59.00%	57.71%	53.63%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target A1 ≥	78.00%	78.00%	78.00%	82.00%
Target A2 ≥	54.00%	54.00%	54.00%	60.00%
Target B1 ≥	81.00%	81.00%	81.00%	85.00%
Target B2 ≥	50.00%	50.00%	50.00%	55.00%
Target C1 ≥	82.00%	82.00%	82.00%	86.00%
Target C2 ≥	51.00%	51.00%	51.00%	60.00%

Key:

Explanation of Changes

In the second quarter of FFY 2011, the State restricted eligibility criteria to address state maintenance of effort; the children exiting in FFY 2015 were therefore all children who entered under the revised criteria and with more complex developmental delays. This slippage was not unanticipated and state has continued to monitor for any emerging trends.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2015 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	2671.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	123.00	4.61%

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	Number of Children	Percentage of Children
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	345.00	12.92%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	761.00	28.49%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	938.00	35.12%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	504.00	18.87%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1699.00	2167.00	79.86%	78.00%	78.40%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	1442.00	2671.00	53.46%	54.00%	53.99%

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	118.00	4.42%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	330.00	12.35%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	889.00	33.28%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1020.00	38.19%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	314.00	11.76%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1909.00	2357.00	81.90%	81.00%	80.99%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	1334.00	2671.00	49.94%	50.00%	49.94%

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	118.00	4.42%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	312.00	11.68%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	859.00	32.16%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1036.00	38.79%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	346.00	12.95%

	Numerator	Denominator	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1895.00	2325.00	81.90%	82.00%	81.51%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	1382.00	2671.00	53.63%	51.00%	51.74%

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

Provide additional information about this indicator (optional)

There continues to be a need for training, monitoring and technical assistance to address data quality issues to ensure that all children who meet the criteria at exit are included in the child outcomes sample (an ongoing effort with data reconciliation) and that the child outcomes process is fully understood and implemented correctly for rating with full IFSP team participation. These efforts are addressed in our SSIP and will help with reporting and fidelity of child
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outcomes data within the BabyNet Early Intervention System.

Currently, the Early Childhood Outcome data is reported in a data system that is not integrated with BRIDGES, thus requiring the service coordinator to enter data in two separate systems. In working with the BRIDGES developers, the Early Childhood Outcome data will be integrated into the Individualized Family Service Plan functions of BRIDGES. The Regional Part C Coordinators and State Accountability and Audit Team, through ongoing monitoring, technical assistance, and accountability audits then be able to include the early child outcomes processes and IFSP team participation in system record reviews. This will allow data system improvement, enhanced data validity and reliability checks, monthly data reports, and communication to interagency Program Managers in support of service coordinator activities.

Actions required in FFY 2014 response

none

OSEP Response

The State revised its targets for this indicator, and OSEP accepts those targets.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2012	Target ≥					87.00%	91.00%	76.00%	79.00%	82.00%	86.00%	86.00%
		Data			76.00%	69.00%	73.00%	76.00%	79.00%	81.00%	86.00%	91.67%	85.91%
B	2012	Target ≥					82.00%	86.00%	71.00%	77.00%	80.00%	86.00%	86.00%
		Data			69.00%	64.00%	67.00%	71.00%	77.00%	81.00%	86.00%	90.91%	81.82%
C	2012	Target ≥					95.00%	95.00%	86.00%	89.00%	92.00%	86.00%	86.00%
		Data			85.00%	82.00%	84.00%	86.00%	89.00%	82.00%	86.00%	92.36%	87.73%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target A ≥	74.00%	74.00%	74.00%	87.00%
Target B ≥	72.00%	72.00%	72.00%	87.00%
Target C ≥	75.00%	75.00%	75.00%	87.00%

Key:

Explanation of Changes

The FFY 2015 data shows slippage from FFY 2014 data and targets not met for our early intervention program. Per input from the State Interagency Coordinating Council, targets for this indicator are being reset to FFY 2015 performance.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2015 SPP/APR Data

Number of respondent families participating in Part C	266.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	197.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	266.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	192.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	266.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	202.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	266.00

	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	85.91%	74.00%	74.06%
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	81.82%	72.00%	72.18%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	87.73%	75.00%	75.94%

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

All families of children who have received BabyNet early intervention services for at least six months at the time they exit the program are invited to participate in a Family Outcomes survey. The Family Outcomes survey is mailed to the families of these children within 30 days after exiting the BabyNet program. The survey captures the impact of the BabyNet program's services on the families. South Carolina uses the "Impact of Early Intervention Services on the Family" survey, developed by the National Center on Special Education Accountability Monitoring (NCSEAM), with Rasch Analysis.

For FFY 2015, a total of 1,810 families were mailed surveys with 15% (n=266) returned responses. The FFY2015 data shows slippage from FFY2014 data and targets not met for our early intervention program. Per input from the State Interagency Coordinating Council, targets for this indicator are being reset to FFY 2015 performance.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Yes, the data accurately represent the demographics of the State

No, the data does not accurately represent the demographics of the State

Provide additional information about this indicator (optional)

In an effort to improve consistency of contact/address information, the State is considering mailing surveys 30 days prior to planned exits, and exploring additional options to improve the survey return rate as well as alternate methods for parents to respond.

Actions required in FFY 2014 response

In the FFY 2015 SPP/APR, the State must report whether its FFY 2015 response data represent the demographics of the State, and, if not, the actions the State is taking to address this issue.

Responses to actions required in FFY 2014 response

The collected data is representative of state population data, with a 95% confidence level with a +/-5.55% confidence interval based on the population of 1,810 children and families. The information revealed that 93% (n=247) respondents were Non-Hispanic and 6% (n=16) Hispanic with no response for 1% (n=3) of families which is representative. The largest percent of children were identified as White (75%, n=199) and Black (18%, n=50) races, which is also representative of state data. The largest percentage of children were enrolled in early intervention services for 6-12 months (48%, n=126), with the next largest percentage enrolled for 13-18 months (16%, n=42) The survey further revealed that a limited number of these families (33%, n=87) received additional family support services from our Parent Training and Information Center or other parent supports, which may have had an impact on survey responses.

OSEP Response

The State revised its targets for this indicator, and OSEP accepts those targets.

In its description of its FFY 2015 data, the State did not address whether the response group was representative of the population.

Required Actions

In the FFY 2016 SPP/APR, the State must report whether its FFY 2016 response data represent the demographics of the State, and, if not, the actions the State is taking to address this issue.

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			0.90%	1.00%	1.04%	1.03%	1.03%	1.03%	1.06%	0.84%	0.89%
Data		0.92%	0.82%	0.97%	0.97%	0.80%	0.57%	0.43%	0.81%	0.79%	0.66%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	0.74%	0.82%	0.88%	0.94%

Key:

Explanation of Changes

The state did not meet its target for this indicator, and while the state served more children in this age group in FFY 2015 than in FFY 2014 (0.66%), data indicate slippage when compared to national averages of children served in Part C of IDEA.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers birth to 1 with IFSPs	431	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	Population of infants and toddlers birth to 1	57,927	null

FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
431	57,927	0.66%	0.74%	0.74%

Provide additional information about this indicator (optional)

The BabyNet State Office has conducted extensive analysis of enrollment of children ages birth to 12 months by county; **FFY 2015 enrollment in 10 of 46 counties accounts for 73% of the children underserved in this age group.** Further analysis of these counties is underway so that child find efforts can be customized based on the geography and residence of these counties. Per input from the State Interagency Coordinating Council, targets for this indicator are being reset to FFY 2015 performance.

Actions taken by the State to address performance:

- Discussions with the new State Lead Agency are underway to explore expedited referral to BabyNet of children in the state Medicaid database with established risk conditions served by the State's early intervention system.
- Two of the State's Regional Part C Coordinators have been charged with convening child serving agencies in FFY 2016 to ensure primary referral sources are informed of referral requirements, understand the information required to be submitted with a referral. This effort will be supported by technical assistance modules addressing public awareness and child find requirements and procedures through the managed learning system under the Comprehensive System of Personnel Development, accessible to child serving agencies, service coordinators, and service providers.
- BabyNet State Office is working with the State Interagency Coordinating Council and the Parent Training and Information Center to identify appropriate methods of child find outreach to pediatric and family medicine practices, as well as analysis of BRIDGES data to determine how families who self-refer are informed of BabyNet.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

- BabyNet State Office is working with the BRIDGES developers to implement mechanisms to track and report children who are referred and lost to contact, as well as children who are referred and found ineligible but subsequently re-referred to the system and found eligible.
- In its budget request to the South Carolina General Assembly, the new State Lead Agency has requested additional positions for embedding BabyNet service coordination in the state's neonatal intensive care units, and establishing both a central referral toll-free line for use by all primary referral sources and an electronic referral portal that will directly populate the BRIDGES referral functions.
- These efforts and activities that are underway or to be undertaken are parallel to those for Indicator 1 to increase provider enrollment, and as the new State Lead Agency reviews policies and procedures, exploration of service delivery models that will support serving more children with the existing BabyNet service provider network.

Actions required in FFY 2014 response

none

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.00%	2.00%	2.66%	2.67%	2.82%	2.79%	2.77%	2.24%	2.13%
Data		2.07%	1.98%	2.21%	2.38%	2.44%	2.57%	2.46%	2.17%	2.13%	2.12%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥	2.30%	2.30%	2.30%	2.50%

Key:

Explanation of Changes

The state met its target for this indicator; however, data indicate further slippage when compared to national averages of children served under Part C of IDEA.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 Child Count/Educational Environment Data Groups	7/14/2016	Number of infants and toddlers birth to 3 with IFSPs	3,978	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2015	6/30/2016	Population of infants and toddlers birth to 3	172,735	

FFY 2015 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
3,978	172,735	2.12%	2.30%	2.30%

Provide additional information about this indicator (optional)

The BabyNet State Office has conducted extensive analysis of enrollment of children ages birth to 36 months by county; **FFY 2015 enrollment in 9 of 46 counties accounts for 75% of the children underserved in this age group.** Please see narrative for Indicator 5 for an indepth description of state activities in support of Indicators 5 and 6.

Actions required in FFY 2014 response

none

OSEP Response

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

The State revised its targets for FFYs 2015, 2016, 2017 and 2018 for this indicator, and OSEP accepts those targets.

Required Actions

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**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		97.90%	82.00%	95.28%	95.00%	93.00%	13.00%	22.00%	88.00%	81.85%	65.16%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
1,873	3,156	65.16%	100%	72.40%
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i>				412

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data for Indicator 7 are based on the report period of 01jul15-30jun16.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Throughout the reporting period, data validation activities include checks for missing data. Data quality issues to be addressed with ongoing training and technical assistance.

Provide additional information about this indicator (optional)

While the State improved performance for this Indicator in FFY 2015, persistent non-compliance has been documented for several years. This is attributed to the current staffing structure of the BabyNet System Point of Entry Offices, and the hand-off to ongoing service coordination for development of the initial Individualized Family Service plan after Part C eligibility is determined.

With funding from the South Carolina General Assembly in FFY 2015, 18 staff were added to the System Point of Entry offices, and five new offices were opened to improve family access to referral and eligibility services. These actions were based on state birth data, the ratio of referred children to eligible children, and the physical addresses of referrals received in FFY 2014. Staffing formulas were developed and are based on expected referrals instead of eligible children to anticipate the number of staff needed to support referral and eligibility services. Ongoing analysis of Indicators 5 and 6, state birth data, FFY 2015 referral data, and SPOE performance in FFY 2015 indicate the need for additional staff.

Following additional funding from the South Carolina General Assembly in June 2016 for evaluation and assessment teams and with support from the Early Childhood Technical Assistance center (ECTA) and the IDEA Data Center (IDC), state leadership held conference calls with three states to learn about successful models of the 45-day process for identification and pilot of evaluation and assessment teams in South Carolina. At the time of this report, technical assistance follow-up is being scheduled to review the input from other states, and formulation of models, and piloting prior to statewide implementation.

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

train-the-trainer session to support service coordinator's use of a routines-based family interview process for initial and annual Individual Family Service Plans. Completion of competency observations is targeted for March 2017, with training of initial and ongoing service coordinators to follow.

Data collection and reports have been updated to assist with checks for missing data for this Indicator. Access to these reports are provided to local service coordination supervisors as notification of the need to meet data entry requirements; these data are also shared with the State Interagency Coordinating Council for advice and assistance in addressing the non-compliance with this Indicator. Other data system activities include redefinition of late reasons for the 45-day process, and training to services coordinators regarding the situations to which each definition would apply. The Regional Part C Coordinators continue to monitor data on monthly basis to improve compliance with both data entry requirements, and statewide data quality training (described in Indicator 1 of this report) was held to improve accountability, practices, and compliance with this indicator.

The new State Lead Agency is in the process of reviewing the policies and procedures related to the 45-day process, assessing additional resources that may be needed, and identifying strategies to better integrate service coordination with the referral and eligibility services.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
69	69	null	0

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings for FFY 2013 for this Indicator were based on service coordinator activities related to the 45-day process and development of the initial Individualized Family Service Plans. Service coordination vendors with findings of non-compliance are monitored through a combination of the State's data system by Regional Part C Coordinators and file reviews by the BabyNet Accountability Audit Team to ensure correct implementation of regulatory requirements as defined in the State Performance Plan/Annual Performance Report Measurement Table.

Describe how the State verified that each individual case of noncompliance was corrected

The State uses the BabyNet Data System, BRIDGES, to verify that in each instance of non-compliance an initial Individualized Family Service Plan is developed, and that the service coordination vendor was timely in development of initial IFSPs for children served subsequent to the original instance(s) of non-compliance.

Explanation of Alternate Data

The State is verifying that all findings of non-compliance identified prior to FFY 2014 have been corrected. Verification processes included data system audits, and file reviews as needed to confirm data system reports.

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Correct implementation of regulatory requirements for this indicator is monitored through the state's data system. On a monthly basis, the Regional Part C Coordinators send all system service coordinators reports identifying missing data, invalid data, and any instances of noncompliance for which a valid late reason is missing or incorrect. Sustained compliance with regulatory requirements for children served subsequent to the original instance of non-compliance is determined through the data system's report functions.

Describe how the State verified that each individual case of noncompliance was corrected

Findings for FFY 2013 for this Indicator were based on service coordinator activities related to the 45-day process and related requirements for development of the initial Individualized Family Service Plans. Service coordination vendors with findings of non-compliance are monitored through a combination of the State's data system by Regional Part C Coordinators and file reviews by the BabyNet Accountability Audit Team to ensure correct implementation of regulatory requirements as defined in the State Performance Plan/Annual Performance Report Measurement Table.

OSEP Response

As explained in OSEP's June 28, 2016 determination letter and the Special Conditions on South Carolina's IDEA Part C FFY 2016 grant award, the State was required to submit a Corrective Action Plan (CAP) by August 1, 2016 and a report by February 1, 2017 setting forth a description of the steps the State is taking to improve compliance with the 45-day timeline requirements of indicator 7, and the status of correction of the remaining FFY 2013 and FFY 2014 findings. As acknowledged in OSEP's January 24, 2017 response to the State's August 1, 2016 CAP, the State provided a description of steps the State is taking to improve compliance with the 45-day timeline requirements, including an increase in staff capacity, and the implementation of monitoring, technical assistance, and enforcement procedures.

As further noted in OSEP's January 24, 2017 response, the State reported that all 41 of its FFY 2013 findings and all 69 of its FFY 2014 findings for Indicator 7 were corrected.

OSEP will respond separately to the State's FFY 2016 special conditions.
2/3/2020

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Because the State reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2016 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2015 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2016 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2015, although its FFY 2015 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2015.

Required Actions

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FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8A: Early Childhood Transition

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data			88.00%	100%	100%	100%	60.00%	60.00%	72.00%	70.94%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
2,025	2,025	100%	100%	100%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	0
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data for this Indicator were collected for the full reporting period of 01jul15-30jun16.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The BabyNet Data System, BRIDGES, requires a transition plan with the initial and each subsequent 6-month review or evaluation of the IFSP. Service Coordinators cannot save the IFSP in the data system without a completed transition plan.

Actions required in FFY 2014 response

none

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

OSEP Response**Required Actions**

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
2,025	2,025	100%	100%	100%

<p>Number of parents who opted out This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</p>	0
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Describe the method used to collect these data

Using data from the BRIDGES data system, the Part C Data Manager on a monthly basis sends data reports to the SEA and each of the state's 80 LEAs as follows: a) "24 Month Report" from BRIDGES of children who turned 24 months (2 years) of age in the previous month and for whom an initial IFSP was developed; b) "Over 24 Report" from BRIDGES of children who were 24 months (2 years) of age during the previous month and for whom an initial IFSP was developed; c) "30 Month Report" from BRIDGES of children who turned 30 months (2.5 years) of age or and for whom an initial IFSP was developed at age 30 months (2.5 years) during the previous month; d) "Over 33 Month Report" from BRIDGES of children with an initial IFSP developed between age 33 months (2 years 9months) and 34.5 months (2 year, 10.5 months); and e) "Over 34.5 Month Report" from BRIDGES of children referred to BabyNet over 34.5 months of age in the assigned geographic area.

Each report includes directory information (child's name, date of birth, address, and telephone number) for children in the assigned geographic area for the LEA. If no children in a school district qualify for notification, a "Zero Report" is made which notifies the SCDE and LEA that there are no children to report in the specific month range.

Do you have a written opt-out policy? No

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data for this Indicator were collected for the full reporting period of 01jul15-30jun16.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Because the notification to both the SEA and LEA is completed electronically by the BabyNet Data Manager as described above, the state has ensured 100% compliance.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
0	0	0	0

OSEP Response

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		93.00%	68.00%	64.89%	83.00%	84.00%	87.00%	75.00%	91.00%	88.06%	84.72%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	100%	100%	100%	100%

FFY 2015 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
1,710	2,025	84.72%	100%	96.47%

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	125
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	123

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data for this Indicator were collected for the full report period of 01jul15-30jun16.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

In January 2016, the State launched a series of data quality webinars (as described in Indicator 1), in which exit reasons were defined and requirements for data entry were reviewed. The State has also added some additional validation features in electronic data system concerning late referrals, and has implemented Regional Part C Coordinator monthly monitoring of data.

Actions required in FFY 2014 response

none

Note: Any actions required in last year's response that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2014

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
57	56	null	1

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings for FFY 2014 for this Indicator were based on service coordinator activities related to ensuring the transition conference is held not less than 90 days prior to the child's third birthday. Service coordination vendors with findings of non-compliance are monitored through a combination of the State's data system by Regional Part C Coordinators and file reviews by the BabyNet Accountability Audit Team to ensure correct implementation of regulatory requirements as defined in the State Performance Plan/Annual Performance Report Measurement Table.

Describe how the State verified that each individual case of noncompliance was corrected

The State uses the BabyNet Data System, BRIDGES, to verify that in each instance of non-compliance the child and family received a transition conference prior to aging out of the system, and that the provider was timely in holding transition conferences for children served subsequent to the original instance(s) of non-compliance.

FFY 2014 Findings Not Yet Verified as Corrected

Actions taken if noncompliance not corrected

Staff of BabyNet State Office, including the Regional Part C Coordinators and the BabyNet Accountability Audit Team, continue to work with service coordination vendors to ensure understanding of the related requirements for this Indicator, appropriate documentation of scheduling and notification of the transition conference with the LEA, and correct procedures in identification of late reasons. Monthly review of data system reports includes identification documentation errors (e.g., incorrect dates, or conflicting information), and BabyNet State Office staff work with service coordinators to make appropriate corrections.

OSEP Response

As explained in OSEP's June 28, 2016 determination letter and the Special Conditions on South Carolina's IDEA Part C FFY 2016 grant award, the State was required to submit a Corrective Action Plan (CAP) by August 1, 2016 and a report by February 1, 2017 providing the status of correction of the remaining FFYs 2013 and 2014 findings regarding the transition conference requirements of indicator 8C .

As acknowledged in OSEP's January 24, 2017 response to the State's August 9, 2016 CAP, the State reported that 36 of 37 of its FFY 2013 and 35 of 57 of its FFY 2014 findings for Indicator 8 were corrected. In its FFY 2015 APR, the State reported timely correction of 56 of 57 of its FFY 2014 findings and reported "none" for findings prior to FFY 2014.

OSEP will respond separately to the State's FFY 2016 special conditions.

Because the State reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. In addition, the State must demonstrate, in the FFY 2016 SPP/APR, that the remaining uncorrected finding of noncompliance identified in FFY 2014 was corrected.

When reporting on the correction of noncompliance, the State must report, in the FFY 2016 SPP/APR, that it has verified that each EIS program or provider with findings of noncompliance identified in FFY 2015 and each EIS program or provider with remaining noncompliance identified in FFY 2014: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2016 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2015, although its FFY 2015 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2015.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥				

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	3.1(a) Number resolution sessions resolved through settlement agreements	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/2/2016	3.1 Number of resolution sessions	n	null

FFY 2015 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
0	0			

Actions required in FFY 2014 response

none

OSEP Response

This indicator is not applicable to the State.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥									100%		
Data											

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target ≥				

Key:

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1.a.i Mediations agreements related to due process complaints	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1.b.i Mediations agreements not related to due process complaints	n	null
SY 2015-16 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/2/2016	2.1 Mediations held	n	null

FFY 2015 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2014 Data*	FFY 2015 Target*	FFY 2015 Data
0	0	0			

Actions required in FFY 2014 response

none

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

OSEP Response

The State reported fewer than ten mediations held in FFY 2015. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

Required Actions

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan**

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data:

FFY	2013	2014	2015
Target			
Data			

Key: Gray – Data Prior to Baseline Yellow – Baseline
 Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target			

Key:

Description of Measure

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

Overview

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 4 (helping their child develop and learn).

Statement

Description

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted

Provide a description of the provided graphic illustration (optional)

Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

Evaluation

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.
- (c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).
- (d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SiMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

South Carolina has not submitted its SSIP as of the start of clarification.

B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SiMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SIMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SIMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SIMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SIMR
4. Measurable improvements in the SIMR in relation to targets

F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

OSEP Response

The State did not provide data for Indicator 11 because the State did not submit its Phase III SSIP report by the April 3, 2017 deadline and had not submitted the report as of the end of the clarification period.

Required Actions

The State did not provide data for FFY 2015. The State must provide the required data for FFY 2016 in the FFY 2016 SPP/APR.

**FFY 2015 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Kristie K. Musick

Title: Part C Coordinator

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