

South Carolina Part C State Annual Performance Report (APR) for FFY 2012

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**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

Part C SPP/APR Indicator/Measurement Table (OMB NO: 1820-0578 / Expiration Date: 08/31/2014) was used for all indicators. The FFY 2012 APR has been prepared by staff of SC First Steps with the assistance of the Part C Data Manager with the Team for Early Childhood Solutions at the University of South Carolina, School of Medicine and reviewed by state contacts with the MidSouth Regional Resource Center and the Early Childhood Technical Assistance Center. Activities initiated, in progress or completed under SC First Steps leadership July 1, 2011 through June 30, 2012 (FFY 2011) are included in this APR.

South Carolina’s Annual Performance Report for FFY 2012 was developed with input from stakeholder groups including parents, providers, and primary referral sources, and information from participating state agencies. The Annual Performance Report and State Performance Plan can be found on the Lead Agency’s website at <http://scfirststeps.org/>.

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

**Actual Target Data for FFY 2012:**

87%
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Data are from South Carolina early intervention system electronic data system, BabyTrac, using data for the entire reporting period, July 1, 2012-June 30, 2013. Data for this indicator include the first time a service is added to any Individualized Family Service Plan (IFSP), and are calculated as timely if the service was initiated within 30 calendar days of the IFSP team meeting. Both the denominator of 5777 and numerator of 5057 include 673 children whose service delays were due to exceptional family circumstances considered justifiably late (i.e., the family rescheduling or canceling the receipt of services due to sickness or unavailability of the parent and/or child; and extreme weather conditions or a natural disaster where the length of the delay in the receipt of services is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions or natural disaster).

Numerator: 5057 (# of Children with new service added to IFSP between July 1, 2012 and June 30, 2013 with start date within 30 days of IFSP completion date, including 673 children whose services were considered justifiably late).

Denominator: 5777 (# of Children with any new service added to IFSP between July 1, 2012 and June 30, 2013, including 673 children whose services were considered justifiably late).

**Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:**

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	<b>5057</b>
b. Total number of infants and toddlers with IFSPs	<b>5777</b>
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100)	<b>88%</b>

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012<sup>1</sup>:**

South Carolina’s actual data indicate that 88% of infants and toddlers with IFSPs received the early intervention services on their IFSPs in a timely manner. This target is unmet; however, the lead agency reports progress for Indicator 1 for FFY 2012. Data collected via BabyTrac for Indicator 1 reflects a 5% improvement in timely delivery of services as compared to FFY 2011 (FFY 2011, 83%).

During FFY 2012, approximately 175 service providers were added as new BabyNet contracted providers. The increase of these speech, physical and occupational therapy service providers decreased the number of children waiting for services. Additionally, BabyNet eligibility criteria revision implemented in late FFY 2011 resulted in 14% fewer children meeting BabyNet eligibility requirements (4405 children served in FFY 2011, and 3789 children served in FFY 2012).

Access to improved BabyTrac data reports by Service Coordination agency providers allowed increased monitoring by agency supervisors and program managers and resulted in ongoing discussion of the importance of quality data management. These discussions were facilitated not only through day to day work efforts of service coordinators and their supervisors, but also reiterated during quarterly BabyNet Coordination team calls with Part C state staff and, subsequently, via Local Early Intervention System (LEIS) face-to-face meetings initiated in June 2013. As plans were made by state program managers for the implementation of the LEIS meetings, critical discussions were held regarding engagement of service coordination staff in the collection of timely and accurate data. Monthly data review and cleansing was emphasized. System level buy-in of the fundamental requirement of data efficacy in order to better serve Part C eligible children and families produced a shared response in the dedication to improve the data collection process.

**Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):**

<sup>1</sup> In an effort to reduce reporting burden, in the FFY 2012 APR, States: 1) Are not required to provide an explanation of: a) progress; b) no change in actual target data from the data for FFY 2011; or c) slippage if the State meets its target. 2) Are not required to discuss improvement activities for: a) compliance indicators where the State reports 100% compliance for FFY 2012; and b) results indicators where the State has met its FFY 2012 target. 3) May provide one set of improvement activities for the entire APR as long as the Improvement Activities are indexed back to reference the relevant indicators.

BabyNet issues findings of non-compliance to the lowest level of supervision responsible for correction in September of each year. Prior to issuing findings of non-compliance, general supervision activities in the first quarter following the end of the federal reporting year include a thorough analysis of data system reports with all BabyNet Service Coordinator Supervisors to ensure: 1) data are complete; 2) data are accurate, and 3) instances of non-compliance are correctly attributed to the appropriate BabyNet Service Coordination Vendor. Findings for FFY 2011 were issued September 30, 2011.

Level of compliance (actual target data) State reported for FFY 2011 for this indicator: 83%

1. Number of findings of noncompliance the State made for FFY 2011 (the period from July 1, 2011, through June 30, 2012, and issued September 2012)	<b>56</b>
2. Number of FFY 2011 findings issued September, 2012 that the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	<b>39</b>
3. Number of FFY 2011 findings issued September 2012 <u>not</u> yet verified as corrected within one year [(1) minus (2)]	<b>17</b>

**Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

4. Number of FFY 2011 findings issued September 2012 not timely corrected (same as the number from (3) above)	<b>17</b>
5. Number of FFY 2011 findings issued September 2012 the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	<b>8</b>
6. Number of FFY 2011 findings issued September 2012 <u>not</u> yet verified as corrected [(4) minus (5)]	<b>9</b>

**Actions Taken if Noncompliance Not Corrected:**

*For FFY 2011 findings for which the State has not yet verified correction, explain what the State has done to identify the root cause(s) of continuing noncompliance, and what the State is doing about the continued lack of compliance, including, as appropriate, enforcement actions taken against an EIS program that continues to show noncompliance.*

South Carolina First Steps to School Readiness continues to work with partnering state agencies and service coordination vendors to submit the requested documentation to verify correction of Prong 1. Additionally, revisions to policies and procedures for FFY 2013 address the responsibilities of both service coordinators and service providers in reaching targeted performance for this indicator.

**Verification of Correction of FFY 2011 noncompliance (either timely or subsequent):**

*For States that Reported Less than 100% Compliance for FFY 2011 for Indicator 1 or that made findings in FFY 2011 under Indicator 1:*

*As specified in OSEP’s June 1, 2011, FFY 2011 SPP/APR Response Table, the State must report, when reporting the correction of noncompliance in its FFY 2012 APR due February 1, 2014, that it has verified that each EIS program with noncompliance reflected in the data the State reported for this indicator: (1) is correctly implementing the timely service provision requirements (i.e., achieved 100% compliance) in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has initiated services, although late,*

*for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).*

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:**

Correction verification procedures have been defined as follows:

Finding rescinded: While every effort is made to ensure the data are complete and accurate prior to issuance of findings, current limitations with the BabyNet data system do not allow BabyNet Service Coordination Vendors to supply missing data or correct data entries following a child’s exit from the system. Additionally, the data system is designed in such a manner that performance follows the service coordinator, so that when s/he changes employment, findings may be incorrectly attributed to the vendor currently employing the service coordinator rather than the employing vendor at the time of non-compliance. In instances when non-compliance is identified and the BabyNet Service Coordination Vendor is able to provide sufficient documentation to the BabyNet State Office to indicate the finding based on the data system is incorrect (for any of the reasons cited above), the finding is rescinded. This stage of verification typically occurs between October 1 and December 31 of each year.

Prong 1 of Correction: BabyNet State Office requests documentation from records for each BabyNet Service Coordination Vendor for each child for whom non-compliance has been identified. For Indicator 1, BabyNet State Office reviews the documentation to determine that although late, the service was provided or that the child has exited BabyNet prior to receipt of the service(s). The finding is cleared if the documentation verifies service delivery; the finding remains in place if the BabyNet Service Coordination Vendor fails to provide the requested documentation, fails to submit the documentation within the required time frame (30 calendar days from the date the finding is issued), or submits documentation insufficient to determine if Prong 1 of Correction has been met. This stage of verification of correction typically occurs between October 1 and December 301 of each year.

Prong 2 of Correction: 90 days from the date the finding is issued, staff of the BabyNet State Office review the data of the BabyNet Service Coordination Vendors with findings on a monthly basis to determine if children served by the vendor subsequent to the findings are served with 100% compliance. The finding is cleared and correction is considered timely if no additional instances of non-compliance are identified for a period of 30 days prior to 1 year from the date the finding is issued; the finding remains in place if any child served subsequent to the finding has a late service. This stage of verification of correction of non-compliance typically begins in January of each year, and continues until Prong 2 of Correction is verified.

**Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable):**

For FFY 2010 findings that the State has not yet corrected, explain what the State has done to identify the root cause(s) of continuing noncompliance, and what the State is doing about the continued lack of compliance, including, as appropriate, enforcement actions taken against an EIS program that continues to show noncompliance.

Findings for FFY 2010 were issued in October, 2011.

1. Based on FFY 2010 data, the number of FFY 2011 findings (issued October, 2011) as noted in OSEP’s July 1, 2013, FFY 2011 APR response table for this indicator	<b>45</b>
2. Based on FFY 2010 data , the number of FFY 2011 findings (issued October, 2011) the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	<b>45</b>
3. Based on FFY 2010 data, the number of FFY 2011 findings (issued October, 2011) the State has NOT verified as corrected [(1) minus (2)]	<b>0</b>

**Verification of Correction of Remaining FFY 2010 findings:**

*For States with Findings of Noncompliance Identified in FFY 2010 that were not reported as corrected in the FFY 2011 APR:*

*As specified in OSEP's July 1, 2013 FFY 2011 SPP/APR Response Table, the State must report, when reporting the correction of noncompliance, that it has verified that each EIS program with findings of noncompliance identified in FFY 2010 that were not reported as corrected in the FFY 2011APR: (1) ) is correctly implementing the timely service provision requirements (i.e., achieved 100% compliance) in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02).*

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:**

Please see procedures as described above for FFY 2011.

**Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable):**

*Provide information regarding correction of any remaining findings of noncompliance from FFY 2009 or earlier using the same table format provided above for findings made in FFY 2010.*

NA

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable): NA**

Statement from the Response Table	State's Response

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (if applicable): NA**

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 2:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:** Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2012	99%

**Actual Target Data for FFY 2012:**

South Carolina reports the target as met. 3671 of 3696 children were served in home or community-based settings for the current reporting period, yielding a performance of 99% for this indicator.

Data are from South Carolina early intervention system database using real time data for period July 1, 2012-June 30, 2013. Delivery of early intervention services is defined as services to the family and child delivered in the context of a family’s home and community routines and activities. If a child receives services both within and outside the nature environment, data are calculated to identify in which settings the child receives the majority of his or services. The current IFSP for children receiving any service outside of home or community based settings must include a justification to do so, and the justification must be reviewed at least every six months. Performance for Indicator 2 has remained consistent with FFY 2010 and FFY 2011 performance.

Numerator: Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

Denominator: Total number of infants and toddlers with IFSPs.

FFY	# Children with IFSPs			Calculation		
	Total	Primary IFSP service site in home/community based setting	Primary IFSP service site in other setting	Numerator	Denominator	Percentage
2012	3696	3671	25	3671	3696	99%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

Family Connections provided ongoing outreach with physicians, community groups, and stakeholders across the state and emphasized the importance and necessity of providing services to children in the

context of their home and community settings. Local Early Intervention System (LEIS) conference calls were held on a quarterly basis until June 2013 when face-to-face LEIS meetings were initiated and facilitated by BabyNet State Office Program Associates. These interactions prompted discussion among team members within SPOE catchment areas and assisted in the identification of natural environment provider availability. Team members were able to share information regarding successes in accomplishment of services within the natural environment and identify geographical areas where these services were difficult to access. This information was shared with BabyNet Provider Relations Coordinator within the BabyNet state office for recruitment purposes, and resulted in the issuance of monthly statewide distribution of BabyNet Contracted Service Provider lists to BN System Personnel.

BabyNet contracted providers and ongoing service coordinators participate in CSPD training, updated during FFY 2012, and service provision in the natural environment is emphasized in these trainings.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013: N/A**

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 3:** Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-

aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

**Summary Statements for Each of the Three Outcomes:**

**Summary Statement 1:** Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 1:** Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

**Summary Statement 2:** The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 2:** Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

**Actual Target Data for FFY 2012: Targets and Actual Data for Part C Children Exiting in FFY 2011 (2011-2012) and Comparison to Actual Data for Part C Children Exiting from FFY 2012 (2012-2013)**

Summary Statements (Overall Total Number Children = 2317)	Actual FFY 2011 (% of children)	Actual FFY 2012 (% of children)	Targets FFY 2012 (% of children)
<b>Outcome A: Positive social-emotional skills (including social relationships)</b>			
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program	81%	82%	<b>81%</b>
2. The percent of children who were functioning within	63%	59%	<b>63%</b>

age expectations in Outcome A by the time they exited the program			
<b>Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)</b>			
1 Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program	82%	82%	<b>82%</b>
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program	60%	56%	<b>60%</b>
1 Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program	82%	82%	<b>82%</b>
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program	65%	59%	<b>65%</b>

**Progress Data for Part C Children FFY 2012**

<b>Outcome 3a: Positive social-emotional skills (including social relationships):</b>	<b>Number of children</b>	<b>% of children</b>
a. Percent of children who did not improve functioning	N=117	5.0%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	N=228	9.8%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	N=604	26.1%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	N=916	39.5%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	N=452	19.5%
<b>Total</b>	<b>N= 2317</b>	<b>100%</b>

<b>Outcome 3b: Acquisition and use of knowledge and skills (including early language/communication):</b>	<b>Number of children</b>	<b>% of children</b>
a. Percent of children who did not improve functioning	N=116	5.0%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	N=242	10.4%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	N=667	28.8%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	N=936	40.4%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	N=356	15.4%
<b>Total</b>	<b>N=2317</b>	<b>100%</b>
<b>Outcome 3c: Use of appropriate behaviors to meet their needs:</b>	<b>Number of children</b>	<b>% of children</b>
a. Percent of children who did not improve functioning	N=103	4.4%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	N=238	10.3%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	N=603	26.0%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	N=961	41.5%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	N=412	17.8%
<b>Total</b>	<b>N=2317</b>	<b>100%</b>

South Carolina Part C uses the Early Childhood Outcomes Summary Form to capture data for this indicator for all children who were found eligible for the BabyNet Early Intervention prior to 30 months of age, and who received at least six months of services. Child Outcome data is collected at entry and at exit and represents a synthesis of input from the child's family, the BabyNet Service Coordinator, all BabyNet Service Providers on a child's IFSP Team, and others as determined appropriate (i.e., e.g., early care and education providers) in rating the child's skills relative to same aged peers at each point of measurement. Data are submitted electronically to the Team for Early Childhood Solutions, and includes a monthly validation process for children reported as exited and missing entry and/or exit data for this indicator.

### Summary Statements Data

Child Outcomes data for FFY 2012 were reported on all children with completed outcomes data in each category. FFY2012 actual Summary Statement Data were compared to FFY 2011 actual Summary Statement Data. Overall, the number of children with reported child outcomes data increased from FFY 2011. Comparisons indicate a surpassed target for Summary Statement 1 of Outcome A with met targets for Summary Statement 1 of Outcome B and C. Comparisons reveal unmet targets for Summary Statement 2 of Outcomes A, B and C. In comparison to FFY 2011, these percentages showed a decline in children who were functioning within age expectations in Outcomes A, B, and C at the time of exit from the program. This could be attributed to the state's change in eligibility criteria during this timeframe which resulted in narrowed eligibility with a focus on children with more involved delays. Further comparison with national FFY 2011 child outcomes data indicates that South Carolina's FFY 2012 Outcome A, B and C Summary Statement 1 percentages are higher than reported national averages. Comparison with FFY 2011 child outcomes data for Summary Statement 1 indicate that it is 1% below the national average for Outcome A; 4% above the national average for Outcome B; and is equal to the national average for Outcome C.

**A-E Progress Data**

FFY 2012a-e Progress Data were compared to FFY 2011 Progress Data to look for patterns in data and compare targets to actual data. It is observed for Outcomes 3a, 3b & 3c that the largest percent of children who exited “improved functioning to reach a level comparable to same-aged peers” (category d) in FFY2011 and FFY2012. Outcomes 3a, 3b & 3c FFY2012 data indicate the second largest percent of children who exited “improved functioning to a level nearer to same-aged peers but did not reach” (category c). During FFY2012, the second largest percentage of children fell into category c, while in FFY 2011 the second highest category was e.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

**Progress/Slippage**

Statewide data reporting continues to move towards being more representative of children served in the state system. On-going training regarding reporting and fidelity of child outcomes data are continued needs within the South Carolina Part C system. Overall, there has been an increased awareness of the child outcomes process and responsibilities of service providers with: 1) ongoing follow-up reports to service coordinators regarding return rates and data inputted in both data systems; 2) ongoing training and technical assistance to professional and family organizations concerning child outcomes within BabyNet; and 3) ongoing communication with Interagency Program Managers regarding progress or slippage in child outcomes data activities.

Overall, the above information indicates that the Part C program impacted children positively by substantially increasing their rate of growth by the time they exited the program in all three outcome summary categories, even with the shift towards a more involved population of children due to the change in eligibility. There continues to be a need to address data quality issues: 1) to ensure that all children who meet the criteria at exit are included in the child outcomes sample and 2) general supervision and continued training to ensure that the child outcomes process is fully understood and implemented correctly for rating decisions. Increasing data quality continues to be an ongoing effort. There is ongoing monitoring and monthly cross referencing with two databases (BabyTrac and TECS) to reconcile data and ensure that child outcomes data are reported on all exiting children within criteria. Data quality is continuing to be addressed through reports and field work. A “Child Missing Data Report” is sent monthly to program managers in an effort to capture the children who have no data inputted but should have been in the child outcomes data sample. Missing Data Component Reports are frequently sent to program managers and with direct contact made to Service Coordinators to determine the missing data components not reported within a specific outcome category for children who have all other reported child outcomes data.

These ongoing activities have allowed our state to identify a total of 2606 children who met child outcomes criteria at exit (i.e., eligible prior to 30 months of age with at least 6 months of services), and to capture outcomes data on 2317 (89%) of those children at exit. This increased reconciliation percentage is attributed to the dedicated focus on data collection and teamwork within our system.

**Improvement Activities**

The following activities were completed during FFY 2012. These activities have contributed to the increase in reportable numbers and data quality. Training and TA activities with SPOE and Service Coordination have helped to improve service coordinators’ confidence inputting data. Overall monitoring reveals fewer missing data components.

Activity	Lead Person/Agency	Completed	Notes (including resources needed)
<b>TRAINING and TA:</b> Provide child outcomes related training to include data collection and monitoring. Training with BabyNet system	First Steps, TECS, and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-

personnel to increase knowledge and skills regarding child outcomes and child outcomes processes. Fidelity of data captured and teaming for consensus rating. Training with BabyNet system personnel to increase utilization of electronic database portal for collection of "entry" and "exit" data.			Parents
<b>TRAINING and TA:</b> Integration of Early Child Outcomes in IFSP Process to include discussion of the three global child outcomes during initial intake with families and throughout IFSP development process.	First Steps, TECS and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSDDB, Family Connections and Pro-Parents
<b>MONITORING:</b> Regular (monthly) monitoring for correlation of the consistency between electronic databases to ensure same number of children entering BabyNet system are being reported in the electronic database with an <i>entry</i> rating and the same number transitioning from the BabyNet system are being reported in the electronic database with an <i>exit</i> rating. Regular (at least monthly) communication to report electronic data input inadequacies to BabyNet personnel.	First Steps, TECS and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSDDB, and SCBCB
<b>MONITORING:</b> Update General Supervision Plan to include child outcomes monitoring for system record review; improve data system validity and reliability checks; monthly data reports and communication to Interagency Program Managers.	First Steps and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSDDB, and SCBCB

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013:**

The 2012 FFY's targets will serve as our FFY2013 targets for Summary Statement 1, while FFY 2011 national averages (Outcome A= 60%; Outcome B= 51% and Outcome C=59%) identified in the 2013 Indicator Analyses Report will serve as our FFY 2013 targets for Summary Statement 2 per stakeholder and Interagency Coordinating Council (ICC) input as we continue to monitor impact of the state's change in eligibility criteria.

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 4:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

**Target Data and Actual Target Data for FFY 2012:**

FFY	A. Know Their Rights	B. Effectively Communicate Their Children's Needs	C. Help Their Children Develop and Learn
<b>2012 (Target)</b>	<b>82%</b>	<b>80%</b>	<b>92%</b>
<b>2012 (Actual)</b>	86% (n=245)	86% (n=245)	86% (n=245)

Family contact information for mailings was provided by the SC Budget and Control Board, the contractor who manages the Part C electronic data system known as BabyTrac. Children who had been in the system for less than six months prior to transitioning/exiting due to factors such as relocation, voluntary exits, or who are deceased were excluded from the data collection process. The impact portion of the National Center for Special Education Accountability and Monitoring (NCSEAM) Family Survey was distributed to families within 30 days of a child's exit from the SC Part C system in an effort to improve consistency of contact/address information. A total of 1753 families met the established criteria for FFY 2012. Of the 1753 mailed surveys, 16% (n=283) of the surveys were returned with valid responses; with 84% (n=1470) being no contact/refused to complete survey (e.g., no responses or from mailer). Data are reported using Rasch Analysis.

The targets set for 4a and 4b for FFY2012 were exceeded; however, the target set for 4c was not met. It is unclear how South Carolina progress compares to the national mean in the Part C SPP/APR 2012

Indicator Analyses (using the NCSEAM standard), as the national report does not provide results by instrument used by states.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

Data collected for FFY 2012 is representative of the overall population of children being served and exiting the early intervention system. The data representativeness is expressed at a 95%, +/-5.66 confidence interval based on the sample population of 1753 children and families with return responses from 283 children and families. The largest percent of children were identified as White (76%, n=194) and Black (21%, n=54), which is representative of state data.

The largest percentage of children were enrolled in early intervention services for 6-12 months (34%, n=82), with the next largest percentage enrolled for 13-18 months (22%, n=54). This was a shift from last year indicating an overall shorter timeframe of enrolled in our early intervention program.

Activity	Team Lead	Progress	Notes
<b>TRAINING and TA:</b> Training of community family support groups regarding proxy service and processes.	First Steps and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-Parents
<b>TRAINING and TA:</b> Training of community family support groups related to helping families know their rights; effectively communicating their child's needs; helping them help their children develop and learn.	First Steps, TECS and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-Parents
<b>TRAINING and TA:</b> Development of Updated Orientation Materials related to working with families for new and existing families and service providers.	First Steps, TECS and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-Parents
<b>TRAINING and TA:</b> Develop Training ProParent's Parents as Policy Making Program with focus of family expectations of Part C and the role of advocacy as a Family Outcomes improvement activity	First Steps, TECS and ProParents	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-Parents
<b>MONITORING:</b> Update General Supervision Plan to include ongoing monitoring of materials provided during Intake Process related to the three global Family Outcomes.	First Steps, TECS and Participating State Agencies	Ongoing	Staff of First Steps, TECS, DDSN, SCSSDB, Family Connections and Pro-Parents

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013: NA**

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 5:** Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012	Meet or Exceed percent calculated for national data.

**Actual Target Data for FFY 2012 in Comparison to FFY 2011 and National Data: Target not met in FFY 2012.**

FFY	SOUTH CAROLINA			NATIONAL DATA		
	Total Population Birth to 1	# children with IFSPs	% Children with IFSPs	Total Population Birth to 1	# children with IFSPs	% of Children with IFSPs
2011	59,489	253	0.43%	4,038,193	41,453	1.03%
2012	57,557	465	0.81%	3,983,689	42,310	1.06%

**Data Source**

Population data collected by the US Bureau of Census (accessed November 2013 from The Right IDEA website: <http://therightidea.tadnet.org>) were used to determine the percent of infants and toddlers birth to age 1 with IFSPs that were served in South Carolina in order to compare to national data across all state and U.S. Territory part C systems.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

BN referral forms were provided to all these sources and are replenished as needed: South Carolina chapters for AAP, American Academy of Family Medicine, South Carolina Obstetrical and Gynecological Society, the State Department of Social Services (divisions of child protective services/foster care/adoptive services and child care services), SC Department of Education, First Steps County Partnerships, and United Way 211.

Direct support and BabyNet information were shared with approximately 1,486 parents and the staff of three level 3 NICU's. Exhibits and presentations were provided for at least 28 state level conferences as well as community health fairs and Early Childhood/Pediatric Health Care Education settings and forums. Public Awareness materials were disseminated to all Part C Partnering Agencies, Health Departments, Head Start programs, SC Chapter of the American Academy of Pediatric Providers, CATCH participants, Federally Qualified Health Providers, Early Intervention Providers, SC State Pediatric Advisory Committee, for them to share with families and providers in their practice/communities. Education was provided and information shared with at least 155 Pediatric Practices, 130 Pediatric Specialty Practices,

40 OBGYN Practices, 89 Pediatric Therapy Practices, 202 Family Health Practices, 39 Birthing Hospitals (nursery and level 2 NICU staff and/or social workers), 41 other Hospitals, 415 Childcare centers, 41 Pediatric Dentists, 225 public locations such as libraries, post offices, resource centers, YMCAs, etc., and 44 Elementary schools.

Progress on this indicator is attributed to both the local activities outlined above, as well as systems-level work. During FFY 2012, 8 South Carolina First Steps County Partnerships actively participated in the BN Early Referral process, and the Lead Agency actively participated in the Help Me Grow initiative through Greenville Hospital System, and United Way 211 central referral process and provider data base. Child find and referral data was analyzed based on birth data for counties low in referrals of children ages birth to 12 months of age, and the information was presented to the SC Early Childhood Comprehensive System workgroup comprised of multiple child serving agencies. Each ECCS agency responded with a plan regarding how early identification and referral activities would be implemented on an intra- and interagency basis during FFY 2012.

The following improvement activities were implemented in FFY 2012 and are ongoing for FFY 2013.

Activity	Team Lead	Progress	Notes
<p><b>POLICY/PROCEDURE:</b> Developed and disseminated new referral form for use by primary referral sources, including, but not limited to South Carolina chapters for AAP, American Academy of Family Medicine, South Carolina Obstetrical and Gynecological Society, the State Department of Social Services (divisions of child protective services/foster/care/adoptive services, and child care services), birthing hospitals and NICUs, all Head Start, Early Head Start, Migrant/Seasonal Head Start, and American Indian/Native Alaskan Head Start centers in South Carolina, All BabyNet System Personnel (agency staff, BabyNet Service Coordinators, and BabyNet Service Providers), SC Department of Education, all First Steps County Partnerships, and United Way 211.</p>	<p>First Steps and Participating State agencies.</p>	<p>Ongoing</p>	<p>Staff of First Steps, DDSN, SCADB, Family Connections, Pro-Parents and First Steps County Partnerships</p>
<p><b>TRAINING AND TA:</b> Local Coordination teams implement child find activities in geographic areas that have a low rate of referral. Targeted child find activities are designated statewide.</p>	<p>First Steps, Family Connections and Participating Agencies</p>	<p>Ongoing</p>	<p>Staff of First Steps, Family Connections, DDSN, SCADB, and Pro-Parents</p>
<p><b>TRAINING AND TA:</b> Collaboration with local WIC programs to identify potentially eligible children at birth.</p>	<p>First Steps, Family Connections and Participating Agencies</p>	<p>Ongoing</p>	<p>Staff of First Steps, Family Connections, DDSN, SCADB, and Pro-Parents</p>
<p><b>TRAINING AND TA:</b> Communications with local First Steps Partnerships regarding making referrals to BabyNet.</p>	<p>First Steps, Family Connections and Participating Agencies</p>	<p>Ongoing</p>	<p>Staff of First Steps, Family Connections, DDSN, SCADB, Pro-Parents, and First Steps County Partnerships</p>
<p><b>TRAINING AND TA:</b> Collaborate with South Carolina Help Me Grow initiative through Greenville Hospital System, and United Way 211 regarding central referral system and referral follow-up for children found ineligible for Part C and Part B § 619 services.</p>	<p>First Steps, Family Connections, Help Me Grow and Participating Agencies</p>	<p>Ongoing</p>	<p>Staff of First Steps, Family Connections, DDSN, SCADB, Pro-Parents, and First Steps County Partnerships</p>

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013: NA

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 6:** Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012	Meet or Exceed percent calculated for national data

**Actual Target Data for FFY 2012 in Comparison to FFY 2011 and National Data: Target not met in FFY 2012.**

FFY	SOUTH CAROLINA			NATIONAL DATA		
	Total Population	#Children with IFSPs	% Children with IFSPs	Total Population	#Children with IFSPs	% Children with IFSPs
2011	178,929	4405	2.46%	12,066,342	336,895	2.79%
2012	174,306	3,789	2.17%	12,028,122	333,542	2.77%

Data Source. Population data collected by the US Bureau of Census (accessed November 2013 from The Right IDEA website: <http://therightidea.tadnet.org>) were used to determine the percent of infants and toddlers birth to age 3 with IFSPs that were served in South Carolina in order to compare to national data across all state and U.S. Territory part C systems.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

Activity	Team Lead	Progress	Notes
<b>POLICY/PROCEDURE:</b> Interagency planning to assure maximum use of all resources available to support Part C services. Adjustments were made by local coordination teams and at the state level to distribute resources as needed to maintain total caseload despite increasing	First Steps, Family Connections, and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, DDSN, SCSDB, Pro-Parents

numbers of referrals and completed IFSPs.			
<b>POLICY/PROCEDURE:</b> Developed and disseminated new referral form for use by primary referral sources, including, but not limited to South Carolina chapters for AAP, American Academy of Family Medicine, South Carolina Obstetrical and Gynecological Society, the State Department of Social Services (divisions of child protective services/foster/care/adoptive services, and child care services), birthing hospitals and NICUs, all Head Start, Early Head Start, Migrant/Seasonal Head Start, and American Indian/Native Alaskan Head Start centers in South Carolina, All BabyNet System Personnel (agency staff, BabyNet Service Coordinators, and BabyNet Service Providers), SC Department of Education, all First Steps County Partnerships, and United Way 211.	First Steps, Family Connections, and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, DDSN, SCSD, Pro-Parents
<b>POLICY/PROCEDURE:</b> Efforts to streamline paperwork requirements wherever possible to free BabyNet staff time for direct services.	First Steps, Family Connections, and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, DDSN, SCSD, , Pro-Parents
<b>TRAINING AND TA:</b> Communication with local First Steps County Partnerships regarding making referrals to BabyNet.	First Steps, Family Connections, and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, Help Me Grow, DDSN, SCSD, , Pro-Parents, First Steps County Partnerships
<b>TRAINING AND TA:</b> Joined in state's Help Me Grow initiative through Greenville Hospital System and United Way 211 to develop a central referral system and referral follow-up for children found ineligible for Part C and Part B § 619 services.	First Steps, Family Connections, Help Me Grow and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, Help Me Grow, DDSN, SCSD, , Pro-Parents, First Steps County Partnerships
<b>MONITORING:</b> Maintenance of child find activities in order to assure continued referral to BabyNet whenever developmental delay is suspected. This results in a large number of referrals for each child ultimately completing an initial IFSP. However, it provides the opportunity for family contact, referral to other services and reinforcement of BabyNet program availability to a large number of SC families.  <b>Child Find and Public Awareness Activities occurred FFY 2012:</b> ASQ Screenings: St. Luke's Early Childhood Center The Learning Experience Daycare	First Steps, Family Connections, and Participating State Agencies	Ongoing	Staff of First Steps, Family Connections, DDSN, SCSD, , Pro-Parents

<p>W.C. Christian Daycare Archway Academy Daycare Head Start</p> <p><b>Public Awareness Activities (statewide):</b> Trident Literacy Association Department of Social Services Summerville's Third Thursday Week of the Young Child Pediatric Practices (n=155) Pediatric Specialty Practices (n=130) Pediatric Therapy Practices (n=89) Family Health Practices (n=202) Childcare Centers (n=415) Pediatric Dentists (n=41) Places in General Public (libraries, post office, resource centers, YMCA,) (n=225) Elementary Schools (n=44)</p>			
<p><b>TRAINING AND TA:</b> Joined in state's Help Me Grow initiative through Greenville Hospital System and United Way 211 to develop a central referral system and referral follow-up for children found ineligible for Part C and Part D § 619 services.</p>	<p>First Steps, Family Connections, Help Me Grow and Participating State Agencies</p>	<p>Ongoing</p>	<p>Staff of First Steps, Family Connections, Help Me Grow, DDSN, SCSDB, , Pro-Parents, First Steps County Partnerships</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013:**

Ongoing activities mentioned above will continue to be evaluated to determine if restructuring of SPOE, additional screening and other efforts are needed. There will be monthly monitors and tracking to ensure that all resources are being implemented as outlined in our policies and procedures. Training and TA activities will include individualized evaluations to ensure the effectiveness of these activities. Monitoring activities will be done by partnering agencies with an evaluation report provided to the Part C lead agency on the above activities. These activities are reflected in the revised SPP.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
FFY 2012	100%

**Actual Target Data for FFY 2012:**

88%
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*Describe the method used to collect data. If data are from State monitoring, describe the method used to select EIS programs for monitoring. If data are from a State database, describe the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period) and how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.*

**Actual Target Data for FFY 2012:** 88%, Target not met.

Data are from South Carolina early intervention system electronic data system, BabyTrac, using data for the entire reporting period, July 1, 2012-June 30, 2013. Timely performance for this indicator is defined as all initial Individualized Family Service Plans (IFSPs) developed within 45 calendar days of referral for which, with consent of the parent or guardian, an: 1) eligibility evaluation, 2) assessment of the family’s resources priorities and concerns, including a description of the family’s home and community routines and activities, and 3) assessment of the child’s unique strengths and needs, including an observation of the child in the home or community, were conducted prior to the development of the initial IFSP (related requirements. Because the Part C electronic data system known as BabyTrac captures only the date of the initial IFSP relative to the date of referral, the BabyNet Service Coordinator of record for the initial IFSP must provide BabyNet State Office with additional documentation to confirm completion of the evaluation and assessment activities occurred prior to the date of the initial IFSP Team meeting.

BabyNet Service Coordinators submit this information to the respective BabyNet Program Manager of the participating state agency, who then compiles the results and forwards them to BabyNet State Office. The results of this documentation are then used to determine both overall state performance as well as identify specific instances of non-compliance for this indicator.

Timely initial IFSPs for which the data documented failure to meet these related requirements are thus counted as untimely, and are included denominator but not the numerator when calculating state performance. Both the numerator and denominator include delays in the 45-day process due to exceptional family circumstances that are considered justifiably late (i.e., the family rescheduling or canceling due to sickness or unavailability of the parent and/or child; and extreme weather conditions or a natural disaster).

**Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C’s 45-day timeline:**

c. Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline	2761
d. Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted	3132
Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline (Percent = [(a) divided by (b)] times 100)	88%

Additional information related to timely evaluation, assessment, and development of initial IFSP for the period July 1, 2012 and June 30, 2013:

Number of infants and toddlers with IFSPs for whom an eligibility evaluation, assessment of unique strengths and needs, and an initial IFSP meeting were conducted within Part C’s 45-day timeline, including children with established risk conditions.	2387
# Children whose evaluation and development of an initial IFSP occurred more than 45 days after referral where delay was due to BabyNet system.	371
# Children whose evaluation and development of an initial IFSP occurred more than 45 days after referral where exceptional family circumstances resulted in delay. BabyNet data system calculates the actual number of days between the date of referral and the date of the initial IFSP. Late reason is a required entry field when the initial IFSP meeting is held more than 45 days from the date of referral. There were 374 documented reasons for past due initial IFSPs related to parent request or inability of the program to contact the family after three attempts in 72 hours, accounting for 50% of late initial IFSPs. These reasons are calculated as exceptional family circumstances.	374

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2012<sup>2</sup>:**

<sup>2</sup> In an effort to reduce reporting burden, in the FFY 2012 APR, States: 1) Are not required to provide an explanation of: a) progress; b) no change in actual target data from the data for FFY 2011; or c) slippage if the State meets its target. 2) Are not required to discuss improvement activities for: a) compliance indicators where the State reports 100% compliance for FFY 2012; and b) results indicators where the State has met its FFY 2012 target. 3) May provide one set of improvement activities for the entire APR as long as the Improvement Activities are indexed back to reference the relevant indicators.

Interagency efforts to manually collect data for this indicator as described above resulted in significant progress toward accurately reporting the number of timely initial IFSPs that met all related requirements.

Activity	Team Lead	Progress	Notes
<b>TRAINING AND TA:</b> Update policy, procedure and best practice training related to timely IFSP development. Provide statewide training opportunities.	First Steps, TECS, and Participating State Agencies	Ongoing	First Steps, TECS, DDSN, SCSDB, Family Connections, Pro-Parents and Partnering Agencies
<b>MONITORING:</b> Develop a field in the database (BabyTrac) to capture whether the root cause of non-compliance is with SPOE or Ongoing Service Coordination. Develop a report that identifies the areas of non-compliance. While this likely will not address all instances of untimely 45-day activities, it is anticipated that the state will have better data regarding within which part of the system accountability lies.	First Steps, SC Budget and Control Board, TECS and Partnering Agencies	Ongoing	First Steps, SCBCB, TECS, DDSN, SCSDB and Partnering Agencies
<b>MONITORING:</b> The BabyNet data system (BabyTrac) contains reports and alerts to assist in managing caseload and monitoring due date for the initial IFSP.	First Steps, SC Budget and Control Board TECS and Partnering Agencies	Ongoing	First Steps, TECS, SCBCB, DDSN, SCSDB and Partnering Agencies
<b>MONITORING:</b> Data reports have been developed in BabyTrac system for ongoing monitoring and correction of missing data. Missing data reports have been provided monthly and access has been made available to the Service Coordinators.	First Steps, SC Budget and Control Board, TECS and Partnering Agencies	Ongoing	First Steps, SCBCB, TECS, DDSN, SCSDB and Partnering Agencies
<b>MONITORING:</b> Interagency review and analysis of performance data at local and state level to explore the root cause for overdue initial IFSPs. Supervisors are required to report to quarterly coordination teams the reason for overdue data. Review of data and discussion at local team meetings verify that the delay in completion of the intake visit often coincides with the late initial IFSP. Corrective action plans will address agency non-compliance.	First Steps, SC Budget and Control Board TECS and Partnering Agencies	Ongoing	First Steps, SCBCB, TECS, DDSN, SCSDB and Partnering Agencies

Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance): BabyNet issues findings of non-compliance to the lowest level of supervision responsible for correction in September of each year. Prior to issuing findings of non-compliance, general supervision activities in the first quarter following the end of the federal reporting year include a thorough analysis of data system reports with all BabyNet Service Coordinator Supervisors to ensure: 1) data are complete; 2) data are accurate, and 3) instances of non-compliance are correctly attributed to the appropriate BabyNet Service Coordination Vendor. Findings for FFY 2011 were issued September 30, 2011.

Level of compliance (actual target data) State reported for FFY 2011 for this indicator: 22%

1. Number of findings of noncompliance the State made for FFY 2011 (the period from July 1, 2011, through June 30, 2012, and issued September 2012)	<b>44</b>
2. Number of FFY 2011 findings issued September, 2012 that the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	<b>31</b>
3. Number of FFY 2011 findings issued September 2012 <u>not</u> yet verified as corrected within one year [(1) minus (2)]	<b>13</b>

**Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

4. Number of FFY 2011 findings issued September 2012 not timely corrected (same as the number from (3) above)	<b>13</b>
5. Number of FFY 2011 findings issued September 2012 the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	<b>10</b>
6. Number of FFY 2011 findings issued September 2012 <u>not</u> yet verified as corrected [(4) minus (5)]	<b>3</b>

**Actions Taken if Noncompliance Not Corrected:**

*For FFY 2011 findings for which the State has not yet verified correction, explain what the State has done to identify the root cause(s) of continuing noncompliance, and what the State is doing about the continued lack of compliance, including, as appropriate, enforcement actions taken against an EIS program that continues to show noncompliance.*

South Carolina First Steps to School Readiness continues to work with partnering state agencies and service coordination vendors to submit the requested documentation to verify correction of Prong 1. Additionally, revisions to policies and procedures for FFY 2013 address the responsibilities of both service coordinators and service providers in reaching targeted performance for this indicator.

**Verification of Correction of FFY 2011 noncompliance (either timely or subsequent):**

*For States that Reported Less than 100% Compliance for FFY 2011 for Indicator 7 or that made findings in FFY 2011 under Indicator 7:*

*As specified in OSEP’s June 1, 2013, FFY 2011 SPP/APR Response table, the State must report, when reporting the correction of noncompliance in the FFY 2012 APR, due February 1, 2014, report that it verified that each EIS program with noncompliance reflected in the data the State reported for this indicator: (1) is correctly implementing the 45-day timeline requirements (i.e., achieved 100% compliance) in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02.*

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:**

Correction verification procedures have been defined as follows:

1. Finding rescinded: While every effort is made to ensure the data are complete and accurate prior to issuance of findings, current limitations with the BabyNet data system do not allow BabyNet Service Coordination Vendors to supply missing data or correct data entries following a child’s exit from the system. Additionally, the data system is designed in such a manner that performance follows the service coordinator, so that when s/he changes employment, findings may be incorrectly attributed to the vendor currently employing the service coordinator rather than the employing vendor at the time of non-compliance. In instances when non-compliance is identified and the BabyNet Service Coordination Vendor is able to provide sufficient documentation to the BabyNet State Office to indicate the finding based on the data system is incorrect (for any of the reasons cited above), the finding is rescinded. This stage of verification typically occurs between October 1 and December 31 of each year.
2. Prong 1 of Correction: BabyNet State Office requests documentation from records for each BabyNet Service Coordination Vendor for each child for whom non-compliance has been identified. For Indicator 1, BabyNet State Office reviews the documentation to determine that although late, the service was provided or that the child has exited BabyNet prior to receipt of the service(s). The finding is cleared if the documentation verifies service delivery; the finding remains in place if the BabyNet Service Coordination Vendor fails to provide the requested documentation, fails to submit the documentation within the required time frame (30 calendar days from the date the finding is issued), or submits documentation insufficient to determine if Prong 1 of Correction has been met. This stage of verification of correction typically occurs between October 1 and December 301 of each year.
3. Prong 2 of Correction: 90 days from the date the finding is issued, staff of the BabyNet State Office review the data of the BabyNet Service Coordination Vendors with findings on a monthly basis to determine if children served by the vendor subsequent to the findings are served with 100% compliance. The finding is cleared and correction is considered timely if no additional instances of non-compliance are identified for a period of 30 days prior to 1 year from the date the finding is issued; the finding remains in place if any child served subsequent to the finding has a late initial IFSP or the BabyNet Service Coordinator fails to comply with any related requirements as described above. This stage of verification of correction of non-compliance typically begins in January of each year, and continues until Prong 2 of Correction is verified.

**Correction of Remaining FFY 2010 Findings of Noncompliance (if applicable):**

*For FFY 2010 findings that the State has not yet corrected, explain what the State has done to identify the root cause(s) of continuing noncompliance, and what the State is doing about the continued lack of compliance, including, as appropriate, enforcement actions taken against an EIS program that continues to show noncompliance.*

Findings for FY 2010 were issued in October 2011.

4. Based on FFY 2010 data, the number of FFY 2011 findings (issued October, 2011) as noted in OSEP’s July 1, 2013, FFY 2011 APR response table for this indicator	<b>8</b>
5. Based on FFY 2010 data , the number of FFY 2011 findings (issued October, 2011) the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	<b>8</b>
6. Based on FFY 2010 data, the number of FFY 2011 findings (issued October, 2011) the State has NOT verified as corrected [(1) minus (2)]	0

**Verification of Remaining FFY 2010 findings:**

*For States with Findings of Noncompliance Identified in FFY 2010 that were not reported as corrected in the FFY 2011 APR, as specified in OSEP’s July 1, 2013 FFY 2011 SPP/APR Response table, when reporting the correction of noncompliance, the State must report, in its FFY 2012 APR, that it has verified*

*that each EIS program with remaining noncompliance: (1) is correctly implementing the 45-day timeline requirements (i.e., achieved 100% compliance) in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02.*

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2010:**

Please see procedures as described above for FFY 2011.

**Correction of Any Remaining Findings of Noncompliance from FFY 2009 or Earlier (if applicable):**

*Provide information regarding correction of any remaining findings of noncompliance from FFY 2009 or earlier using the same table format provided above for findings made in FFY 2010.*

NA

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable): NA**

Statement from the Response Table	State's Response

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2012 (if applicable): NA**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8:** Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

- A. Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.
- B. Percent = [(# of toddlers with disabilities exiting Part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition planning under 8A, 8B, and 8C, including the reasons for delays.

FFY 2012	Target	Actual
8A	100%	77%
8B	100%	100%
8C	100%	91%

Description of Data:

Indicator 8a: timely and compliant performance for this indicator is defined as the number of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday. Because the Part C electronic data system known as BabyTrac captures only the dates of 1) referral to the Local Education Agency (LEA), 2) Transition Conference Notice, 3) the transition conference, and 4) if the LEA attended

the transition conference, the BabyNet Service Coordinator of record for the transition conference must provide BabyNet State Office with additional documentation to confirm each of the following 1) Transition Plan Date; 2) Transition Steps; 3) Transition Options Discussed; and 4) Transition Services to Child. BabyNet Service Coordinators submit this information to the respective BabyNet Program Manager of the participating state agency, who then compiles the results and forwards them to BabyNet State Office. The results of this documentation are then used to determine both overall state performance as well as identify specific instances of non-compliance for this indicator.

The lead agency did not request data nor documentation related to exception family circumstances for either FFY 2011 or FFY 2012, but will do so in reporting for FFY 2013. In its revision of this APR, the denominator for this indicator is changed from 1942 to 2079 as a result of input of missing data into BabyTrac after original submission of this report. Performance for this indicator is therefore revised from 77% to 72%.

Indicator 8b: The BabyNet data system, BabyTrac, does not capture the required measurement of this indicator, i.e., the number of toddlers with disabilities exiting Part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services. Fulfillment of this requirement occurs on a monthly basis in two ways: 1) an electronic notification on a monthly basis to the SEA and each LEA of all potentially eligible children who have reached age 24 months, and who have entered the system at any time after age 24 months, and 2) a hardcopy notification by the BabyNet Service Coordinator. To verify the latter, BabyNet Service Coordinator of record for the transition conference must provide BabyNet State Office with additional documentation to confirm the date the hardcopy notification was sent to the child’s LEA. BabyNet Service Coordinators submit this information to the respective BabyNet Program Manager of the participating state agency, who then compiles the results and forwards them to BabyNet State Office. The results of this documentation are then used to determine both overall state performance as well as identify specific instances of non-compliance for this indicator.

In its revision of this APR, the denominator for this indicator is changed from 1942 to 2079 as a result of input of missing data into BabyTrac after original submission of this report. Performance for this indicator is not affected by this revision.

Indicator 8c: Data are from South Carolina early intervention system electronic data system, BabyTrac, using data for the entire reporting period, July 1, 2012-June 30, 2013. Both the denominator of 2079 and the numerator 1889 include 237 instances of exceptional family circumstances considered justifiably late (i.e., the family rescheduling or canceling the receipt of services due to sickness or unavailability of the parent and/or child; and extreme weather conditions or a natural disaster where the length of the delay in the receipt of services is directly proportional to the duration and severity of the disruption caused by the extreme weather conditions or natural disaster). In its revision of this APR, the denominator for this indicator is changed from 1942 to 2079 as a result of input of missing data into BabyTrac after original submission of this report. . Performance for this indicator is not affected by this revision.

Numerator for 8c: 1889 (# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months prior to the toddler’s third birthday for toddlers potentially eligible for Part B, including 237 instances of exceptional family circumstances)

Denominator for 8c: 2079 (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B, including 237 instances of exceptional family circumstances)

**8A. Children Exiting Part C who Received Timely Transition Planning: Target not met**

a. Number of children with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more	1504
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than nine months, prior to their third birthday	
b. Number of children exiting Part C	2079
c. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Percent = [(a) divided by (b)] times 100)	<b>72%</b>

**8B. Children Exiting Part C who Received Timely Transition Planning (Notification to SEA and LEA): Target met.**

a. Number of children exiting Part C and potentially eligible for Part B where the notification to the SEA and LEA occurred	2079
b. Number of children exiting Part C who were potentially eligible for Part B	2079
c. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Notification to SEA and LEA) (Percent = [(a) divided by (b)] times 100)	<b>100%</b>

**8C. Children Exiting Part C who Received Timely Transition Planning (Transition Conference): Target not met.**

a. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred	1889
b. Number of children exiting Part C who were potentially eligible for Part B	2079
c. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday (Transition Conference) (Percent = [(a) divided by (b)] times 100)	<b>91%</b>

**Comparison of FFY 2012 to FFY 2011 performance**

Indicator 8	FFY 2011	FFY 2012
A	60%	72%
B	100%	100%
C	75%	91%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

Activities initiated, completed or in progress July 1, 2012 – June 30, 2013 to improve performance or correct previously identified non-compliance:

Activity	Team Lead	Progress	Notes
<b>POLICY/PROCEDURE:</b> Continued use of IFSP form modified in FFY 2006 to facilitate completion and documentation of transition planning beginning with initial IFSP development.	First Steps, SC Department of Education (SCDOE), and Participating State Agencies	Completed	First Steps, SCDOE, and Participating State Agencies
<b>POLICY/PROCEDURE:</b> Continued monthly notification to LEAs of all children who turned 24 months old or completed initial IFSP after age 24 months in the previous month using data system report designed for this purpose. This report is now sent to the SEA and the designated Special Education Director for each LEA. The report is also sent to one other LEA staff member upon request.	First Steps, TECS, SCDOE, SC Budget and Control Board (SCBCB), and Participating State Agencies	Ongoing	First Steps, TECS, SCDOE, and Participating State Agencies
<b>POLICY/PROCEDURE:</b> Modification of the report described above to require that a notification be sent to the SEA and each LEA each month even if no children are reported. Copies of these reports are retained for verification.	First Steps, TECS, and Participating State Agencies	Ongoing	First Steps, TECS, SCDOE, and Participating State Agencies
1. <b>POLICY/PROCEDURE:</b> Transition procedures were updated to address Part B concerns related to the transition process. . Final policies were submitted to the State Department of education in June 2013 and approved; however, implementation is pending finalization of contracts with participating state agencies. When implemented, these changes will result in more consistency and improved performance	First Steps, TECS, SCDOE, and Participating State Agencies	Initiated	First Steps, TECS, SCDOE, and Participating State Agencies
<b>TRAINING AND TA:</b> SC worked very closely with technical assistance from the Data Accountability Center, the MidSouth Regional Resource Center, and the Early Childhood Technical Assistance Center during the latter half of the reporting period to develop a process for identification and correction of non-compliance. The state received consultation regarding issues related to performance on this indicator.	First Steps, TECS, SCDOE, and Participating State Agencies	Ongoing	First Steps, TECS, SCDOE, and Participating State Agencies
<b>TRAINING AND TA:</b> Conduct joint trainings on transition policy, procedures, and best practice with First Steps BabyNet State Office Program Associates, TECS and SC Department of Education specifically for the	First Steps, TECS, and SCDOE	Ongoing	First Steps, TECS, SCDOE and Partnering Agencies

purpose of discussing transition and reviewing strategies to improve the process.			
<b>TRAINING AND TA:</b> All service coordinators were notified of missing data and given the opportunity to provide missing data for this indicator in July-August, 2011 prior to issuing of findings. Missing data reports are now available to all service coordinators.	First Steps, TECS and SCDOE	Ongoing	First Steps, TECS, SCDOE, and Participating State Agencies
<b>MONITORING:</b> Data system modifications were completed to alert service coordinators to transition conference due dates (previously only reports available documented conferences already past due). Ongoing training is provided to staff as a reminder to review these reports on a systematic basis. Face-to-face team meetings are being held quarterly in each region to review data and look at strategies for improvement in processes.	First Steps, TECS and SCDOE	Ongoing	First Steps, TECS, SCDOE and Participating State Agencies
<b>MONITORING:</b> First Steps State Staff are in the process of developing a file review tool for verification of Indicator 8A, and verification of data for Indicators 8A-8C.	First Steps	Initiated in FFY 2012 and ongoing pending implementation of revised data system	SC First Steps

**Status of Correction of Non-Compliance:**

BabyNet issues findings of non-compliance to the lowest level of supervision responsible for correction in September of each year. Prior to issuing findings of non-compliance, general supervision activities in the first quarter following the end of the federal reporting year include a thorough analysis of data system reports with all BabyNet Service Coordinator Supervisors to ensure: 1) data are complete; 2) data are accurate, and 3) instances of non-compliance are correctly attributed to the appropriate BabyNet Service Coordination Vendor. Findings for FFY 2011 were issued September 30, 2011.

Indicator 8a	Findings	Timely Correction (within one year)	Subsequent Correction (beyond one year)	Not Yet Verified as Corrected
<b>FFY 2010 Findings issued October, 2011</b>	No data verification procedures in place for this indicator at the time findings were issued	N/A	N/A	N/A
<b>FFY 2011 Findings Issued September, 2012</b>	Disaggregation of previously reported data in	Report pending	Report pending	Report pending

	progress at the time of this revision			
<b>FFY 2012 Findings Issued September 2013</b>	Non-Compliance identified in 14 of 74 Vendors	Prong 1 Verified for all non-compliance; Verification of Prong 2 in progress	---	---

<b>Indicator 8b</b>	<b>Findings</b>	<b>Timely Correction (within one year)</b>	<b>Subsequent Correction (beyond one year)</b>	<b>Not Yet Verified as Corrected</b>
<b>FFY 2010 Findings issued October, 2011</b>	0	N/A	N/A	N/A
<b>FFY 2011 Findings Issued September, 2012</b>	0	N/A	N/A	N/A
<b>FFY 2012 Findings Issued September 2013</b>	0	N/A	N/A	N/A

<b>Indicator 8c</b>	<b>Findings</b>	<b>Timely Correction (within one year)</b>	<b>Subsequent Correction (beyond one year)</b>	<b>Not Yet Verified as Corrected</b>
<b>FFY 2010 Findings issued October, 2011</b>	Non-Compliance identified in 53 of 70 Vendors	25	28	0
<b>FFY 2011 Findings Issued September, 2012</b>	Disaggregation of previously reported data in progress at the time of this revision	Report pending	Report pending	Report pending
<b>FFY 2012 Findings Issued September 2013</b>	Non-Compliance identified in 18 of 74 Vendors	Prong 1 Verified for all non-compliance; Verification of Prong 2 in progress	---	---

Correction verification procedures have been defined as follows:

1. **Finding rescinded:** While every effort is made to ensure the data are complete and accurate prior to issuance of findings, current limitations with the BabyNet data system do not allow BabyNet Service Coordination Vendors to supply missing data or correct data entries following a child's exit from the system. Additionally, the data system is designed in such a manner that performance follows the service coordinator, so that when s/he changes employment, findings may be incorrectly attributed to the vendor currently employing the service coordinator rather than the employing vendor at the time of non-compliance. In instances when non-compliance is identified and the BabyNet Service Coordination Vendor is able to provide sufficient documentation to the BabyNet State Office to indicate the finding based on the data system is

incorrect (for any of the reasons cited above), the finding is rescinded. This stage of verification typically occurs between October 1 and December 31 of each year.

2. Prong 1 of Correction: BabyNet State Office requests documentation from records for each BabyNet Service Coordination Vendor for each child for whom non-compliance has been identified. For Indicator 1, BabyNet State Office reviews the documentation to determine that although late, the service was provided or that the child has exited BabyNet prior to receipt of the service(s). The finding is cleared if the documentation verifies service delivery; the finding remains in place if the BabyNet Service Coordination Vendor fails to provide the requested documentation, fails to submit the documentation within the required time frame (30 calendar days from the date the finding is issued), or submits documentation insufficient to determine if Prong 1 of Correction has been met. This stage of verification of correction typically occurs between October 1 and December 301 of each year.
3. Prong 2 of Correction: 90 days from the date the finding is issued, staff of the BabyNet State Office review the data of the BabyNet Service Coordination Vendors with findings on a monthly basis to determine if children served by the vendor subsequent to the findings are served with 100% compliance. The finding is cleared and correction is considered timely if no additional instances of non-compliance are identified for a period of 30 days prior to 1 year from the date the finding is issued; ; the finding remains in place if any child served subsequent to the finding has a late transition plan, transition referral, and/or transition conference This stage of verification of correction of non-compliance typically begins in January of each year, and continues until Prong 2 of Correction is verified.

1. .

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013: NA**

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 9:** General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**  
 Percent of noncompliance corrected within one year of identification:  
 a. # of findings of noncompliance.  
 b. # of corrections completed as soon as possible but in no case later than one year from identification.  
 Percent = [(b) divided by (a)] times 100.  
 States are required to use the "Indicator C9 Worksheet" to report data for this indicator (see Attachment 1).

FFY	Measurable and Rigorous Target
FFY 2011	100%

**Actual Target Data for 2011: 68%; Target not met.**

Data for this indicator were taken from indicator-specific reports from the BabyTrac data system, and manual collection of data from BabyNet Service Coordination Vendors.

Although the target was unmet, South Carolina has made significant progress in timely identification and correction of non-compliance from previous reporting years. Indicator 9 performance for FFY 2010, 2011, and 2012 are summarized separately below. As a result of the annual schedule employed by South Carolina for identifying non-compliance and issuance of findings, FFY 2010 data are reported in the C-9 worksheet of this report. Please note that for FFY 2010, South Carolina did not separate findings of non-compliance for Indicators 8a, 8b, and 8c; findings reported below and in the worksheet represent an aggregate finding of non-compliance for Indicator 8.

FFY 2010: Findings issued October 2011. Data indicate 100% findings issued for FFY 2010 (July 1, 2010-June 30, 2011) have been verified as either timely correction (52%) or subsequent correction.

<b>FFY 2010</b>	<b>Findings (issued October, 2011)</b>	<b>Timely Correction (within one year)</b>	<b>Subsequent Correction (beyond one year)</b>	<b>Not Yet Verified as Corrected</b>
Indicator 1	Non-Compliance identified in 66 of 70 Vendors	21 (31%)	45	0
Indicator 7	Non-Compliance identified in 50 of 70 Vendors	42 (84%)	8	0
Indicator 8a	No data verification procedures in place for this indicator at the time findings were issued	---	---	---
Indicator 8b	No findings issued	N/A	N/A	N/A
Indicator 8c	Non-Compliance identified in 53 of 70 Vendors	25 (47%)	28	0
Summary	169 Findings	88 Timely Correction (52%)	81 Subsequent Correction (48%)	0 Not Yet Verified as Corrected

FFY 2011: Findings issued September, 2012. Data indicate 21% fewer findings were issued in FFY 2011 as compared to FFY 2010, with a 16% improvement in the timely correction of findings. Additionally in FFY 2011, South Carolina was successful in implementing mechanisms for capture of compliance data for related requirements for Indicators 7, 8a, and 8c that is beyond the capacity of the state's current data system.

<b>FFY 2011</b>	<b>Findings (issued September 2012)</b>	<b>Timely Correction (within one year)</b>	<b>Subsequent Correction (beyond one year)</b>	<b>Not Yet Verified as Corrected</b>
Indicator 1	Non-Compliance identified in 56 of 70 Vendors	39 (69%)	8	6
Indicator 7	Non-Compliance identified in 44 of 70 Vendors	31 (70%)	10	3
Indicator 8a	Disaggregation of previously reported data in progress at the time of this revision	Report pending	Report pending	Report pending
Indicator 8b	No findings issued	N/A	N/A	N/A
Indicator 8c	Disaggregation of previously reported data in progress at the time of this revision	Report pending	Report pending	Report pending
Summary	Report pending	Report pending	Report pending	Report pending

**FFY 2012:** Findings issued September, 2013. Data indicate 38% fewer findings of non-compliance were issued in FFY 2012 as compared to FFY 2011, and 51% fewer as compared to FFY 2010. All BabyNet State Office activities required to issue findings were completed in a timely manner.

FFY 2012	Findings (issued September 2013)	Timely Correction (within one year)	Subsequent Correction (beyond one year)	Not Yet Verified as Corrected
Indicator 1	Non-Compliance identified in 12 of 74 Vendors	Prong 1 Verified for all non-compliance; Verification of Prong 2 in progress	---	---
Indicator 7	Non-Compliance identified in 34 of 74 Vendors	Prong 1 Verified for all non-compliance; Verification of Prong 2 in progress	---	---
Indicator 8a	Disaggregation of previously reported data in progress at the time of this revision	Report pending	Report pending	Report pending
Indicator 8b	No findings issued	N/A	N/A	N/A
Indicator 8c	Disaggregation of previously reported data in progress at the time of this revision	Report pending	Report pending	Report pending
Summary	Report pending	Report pending	Report pending	Report pending

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:**

Activities initiated, completed or in progress July 1, 2012 – June 30, 2013 to improve performance or correct previously identified non-compliance:

Activity	Team Lead	Progress	Notes
As developed in FFY 2011, Continued use manual collection of data for verification of related requirements for Indicators 7, 8a, and 8c	First Steps/ BabyNet State Office	Initiated and ongoing	First Steps, TECS and Participating State Agencies
Assignment of BabyNet State Staff responsibilities for data collection and cleansing, identification and notification of findings, verification of correction (Prong 1 and Prong 2), and development of assigned sections of the state's Annual Performance Report.	First Steps/ BabyNet State Office	Initiated and ongoing	---
BabyNet State Office staff meet on a bi-weekly basis to monitor and self-evaluate progress in implementation of activities for	First Steps/ BabyNet State Office	Initiated and ongoing	---

<p>Indicator 9. This process facilitated completion of cleansing data that were incorrect, incomplete, or duplicated, issuance of findings, completion of Prong 1 verification of correction, and initiation of Prong 2 verification of within state-identified timeframes.</p>			
<p>As the Lead Agency for BabyNet, South Carolina First Steps to School Readiness contracted for development and implementation of a new Part C data system with Yahasoft, Inc. Weekly conference calls are held with staff of Yahasoft, the Part C Coordinator, Part C Data Manager, and the CSPD Coordinator. In FFY 2013, All BabyNet Service Coordination Vendors and BabyNet Service Providers were trained in use of the data. Launch is expected March, 2014.</p>	<p>First Steps/ BabyNet State Office</p>	<p>August 2012 and ongoing</p>	<p>First Steps, Yahasoft, Inc., TECS, and Participating State Agencies</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2013: NA**

INDICATOR C-9 WORKSHEET for South Carolina Part C, FFY 2011 (APR of 03feb14)

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 to 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 to 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	66/70	21	45
	Dispute Resolution: Complaints, Hearings	---	---	---
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 to 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 to 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
5. Percent of infants and toddlers birth to 1 with IFSPs  6. Percent of infants and toddlers birth to 3 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	50/70	42	8
	Dispute Resolution: Complaints, Hearings	---	---	---
8. Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has: A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	No data verification procedures in place for this indicator at the time findings were issued	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
8. Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has: B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the child resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0/70; See Note in Section C-9 of the APR	---	---
	Dispute Resolution: Complaints, Hearings			

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 to 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 to 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
8. Percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has: C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	53/70	25	28
	Dispute Resolution: Complaints, Hearings	---	---	---
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	---	---	---
	Dispute Resolution: Complaints, Hearings	---	---	---

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 to 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 to 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
<b>Sum the numbers down Column a and Column b</b>			169	88

Percent of noncompliance corrected within one year of identification = 52%  
 (column (b) sum divided by column (a) sum) times 100

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 12:** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2012	100%

**Actual Target Data for 2012:** Met. No hearings requests.

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 13:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2012	100%

**Actual Target Data for 2012:** Met. No mediation requests.