

## Appendix F Residential Self-Assessment Global Analysis

Number of CRCFs: 20

Number of CTH Is: 20

Number of CTH IIs: 49

Number of SLP Is: 31

Number of SLP IIs: 23

*Total: 143 programs*

### 1. SETTING

1.1. *Individuals do not live in isolated compounds, or settings which limit their potential integration with the community at large.*

1.1.1. Is the residential setting surrounded by high walls/fences and/or have closed/locked gates? If yes, please explain the reason for them.

	Yes	No	N/A	Blank
CRCF	1	18	0	1
CTH I	0	20	0	0
CTH II	5	44	0	0
SLP I	1	30	0	0
SLP II	2	21	0	0

1.1.2. Is the residential setting among private residences, businesses and community resources?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	19	0	0	1
CTH II	46	3	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.1.3. Does the residential setting purposely separate individuals receiving Medicaid HCB services from those who do not?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	1	19	0	0
CTH II	0	48	0	1
SLP I	0	31	0	0
SLP II	0	22	0	1

1.1.4. Is the residential setting located on the grounds of, or adjacent to, a public institution?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	0	20	0	0
CTH II	1	47	0	1
SLP I	0	31	0	0
SLP II	0	23	0	0

1.1.5. Is the residential setting located on, or adjacent to, a parcel land that contains more than one State licensed facility?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	0	20	0	0
CTH II	4	45	0	0
SLP I	1	30	0	0
SLP II	4	19	0	0

1.1.6. Is the residential setting located in a building that is also a publicly or privately operated facility that provides inpatient institutional treatment?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	1	19	0	0
CTH II	1	48	0	0
SLP I	0	31	0	0
SLP II	0	23	0	0

1.2. *The common areas do not resemble and institution, are comfortable, and encourage social interactions free from undue restrictions.*

1.2.1. Are the common areas decorated in a home-like fashion (paint, artwork, home furnishings, etc.)?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.2.2. Is there a common living room/social area with home-like furnishings?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	31	0	0	0
SLP II	23	0	0	0

1.2.3. Are individuals free to move around common areas?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.3. *Individuals are able to maneuver through hallways, doorways, and common areas with or without assistive devices. Supports are available to individuals who require them.*

1.3.1. Are supports provided for individuals who need them to move around the setting independently/as they are able (grab bars, ramps, viable emergency exits, etc.)?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	30	1	0	0
SLP II	23	0	0	0

1.3.2. Are appliances and amenities accessible to individuals with varying access needs?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	20	0	0	0
CTH II	48	1	0	0
SLP I	30	1	0	0
SLP II	23	0	0	0

1.3.3. Can individuals make use of furniture and spaces conveniently and comfortably?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.3.4. Are hallways and common areas accessible to individuals of varying needs?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	31	0	0	0
SLP II	23	0	0	

1.3.5. Are individuals, or groups of individuals, restricted from areas of the residential setting because it is inaccessible to individuals with specific ambulatory needs?

	Yes	No	N/A	Blank
CRCF	0	20	0	0
CTH I	2	18	0	0
CTH II	2	47	0	0
SLP I	4	27	0	0
SLP II	0	23	0	0

1.4. *Individuals are able to receive visitors. Visitation is not restricted or hampered by facility policies or practices. Standard visiting hours are posted, and individuals are made aware of after-hours visiting policy. Visitors must be allowed outside of standard visiting hours, but restrictions to accommodate other residents, such as limiting visitors to certain areas of the residential setting and observing "quiet hours," may be imposed. There is a comfortable private place for individuals to have visitors.*

1.4.1. Are visiting hours restricted? If so, explain reasoning for restrictions(s).

	Yes	No	N/A	Blank
CRCF	2	16	2	0
CTH I	5	11	4	0
CTH II	8	36	5	0
SLP I	4	27	0	0
SLP II	0	20	3	0

1.4.2. Are visiting hours posted? If yes, where are they posted? (Please provide a copy)

	Yes	No	N/A	Blank
CRCF	2	18	0	0
CTH I	0	20	0	0
CTH II	7	40	0	2
SLP I	1	28	0	2
SLP II	0	22	0	1

1.4.3. Are individuals or visitors required to give advance notice of visitation?

	Yes	No	N/A	Blank
CRCF	0	19	0	1
CTH I	7	13	0	0
CTH II	9	38	0	2
SLP I	1	29	0	1
SLP II	0	22	0	1

1.4.4. Are there provisions for private visitation in home-like settings?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	17	2	0	1
CTH II	43	4	0	2
SLP I	26	4	0	1
SLP II	17	5	0	1

1.4.6. Are individuals allowed to have overnight guests, if space and accommodations are available? If no, please explain.

	Yes	No	N/A	Blank
CRCF	4	15	0	1
CTH I	12	8	0	0
CTH II	16	33	0	0
SLP I	30	0	0	1
SLP II	20	3	0	0

1.4.7. Please attach or send a copy of the visitor policy and procedures.

1.5. *Individuals are able to access all areas of the residential setting unless their safety would be jeopardized, e.g., individuals do not have access to maintenance rooms, janitor's closets, etc.*

1.5.1. Which areas are individuals restricted from entering? Please provide an explanation.

1.5.2. How are individuals prevented from entering restricted areas?

1.6. *Individuals have independent access to appliances and household amenities in order to complete standard household chores and activities of daily living as appropriate.*

1.6.1. Do individuals have access to a laundry room?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	48	1	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.6.2. Do individuals have access to cooking for a food preparation area/space?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

1.6.3. Are individuals encouraged and supported to do personal chores and housekeeping, if they choose?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

## 2. ROOM AND PRIVACY

2.1. *Individuals have the ability to choose whether to upgrade to a private room (room and board rates may be different based on the individual's election of a private or semi-private room). If the individual lives in a semi-private room, they are not auto-assigned a roommate. Individuals are given the option to move to another room and/or change roommate if their preference becomes available.*

2.1.1. Do individuals have the option to elect a private room?

	Yes	No	N/A	Blank
CRCF	8	5	7	0
CTH I	13	0	7	0
CTH II	29	0	20	0
SLP I	17	0	14	0
SLP II	16	0	7	0

2.1.2. Are individuals given the opportunity to choose their roommate is applicable?

	Yes	No	N/A	Blank
CRCF	13	4	0	3
CTH I	13	4	0	3
CTH II	33	6	0	10
SLP I	22	2	0	7
SLP II	17	1	0	5

2.1.3. Does the individual talk positively about their roommate?

	Yes	No	N/A	Blank
CRCF	13	0	7	0
CTH I	6	0	13	1
CTH II	30	1	15	3
SLP I	6	0	24	1
SLP II	7	1	14	1

2.1.4. How are individuals made aware of how to request a roommate change?

2.1.5. Under what circumstances may an individual change rooms and/or roommate?

2.1.6. How do individuals request a change of room or roommate?

2.1.7. Are residents notified of roommates and changes prior to move in?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	14	2	0	4
CTH II	41	2	0	6
SLP I	18	3	0	10
SLP II	19	1	0	3

2.1.8. Does the residential setting alert individuals to the fact their room or roommate preference is available?

	Yes	No	N/A	Blank
CRCF	17	2	0	1
CTH I	16	3	0	1
CTH II	40	4	0	5
SLP I	18	3	0	10
SLP II	19	1	0	3

2.1.9. May married couples choose to share, or not to share a room?

	Yes	No	N/A	Blank
CRCF	10	7	0	3
CTH I	16	3	0	1
CTH II	31	12	0	6
SLP I	25	0	0	6
SLP II	19	3	0	1

2.2. *Individuals' living areas do not resemble institutional settings or wards. Individuals are encouraged and supported to maintain their personal space according to their preferences, and living areas are the appropriate size for the number of residents.*

2.2.1. How many beds are in the bedrooms?

2.2.2. Are the individuals' personal items, such as pictures, books, and memorabilia, present and arranged as the individual desires?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	30	0	0	1
SLP II	22	0	0	1

2.2.3. Do the furniture, linens, and other household items reflect the individuals' personal choices?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	22	0	0	1

2.2.4. Do individuals; living areas reflect their interests and hobbies?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	22	0	0	1

2.3. *Individuals have the right to privacy, including lockable doors to their individual rooms and toileting facilities, unless the individual's physical or cognitive condition means their safety could be compromised if afforded privacy.*

2.3.1. Does the individual's room and bathroom have a locking door?

	Yes	No	N/A	Blank
CRCF	12	8	0	0
CTH I	19	1	0	0
CTH II	46	3	0	0
SLP I	27	2	0	2
SLP II	19	2	0	0

2.3.2. Who has keys to access individual's rooms?

2.3.3. Do furniture arrangements ensure privacy?

	Yes	No	N/A	Blank
CRCF	16	4	0	0
CTH I	19	1	0	0
CTH II	45	2	0	2
SLP I	30	0	0	1
SLP II	21	0	0	2

2.3.4. Do staff, other residents, and visitors always knock and receive permission prior to entering an individual's room or bathroom?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	19	1	0	0
CTH II	42	6	0	1
SLP I	30	0	0	1
SLP II	22	0	0	1

2.3.5. Do individuals have the option to close their doors when wanting total privacy? If no, please explain reason(s).

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	29	1	0	1
SLP II	22	0	0	1

2.3.6. Are cameras present in the residential setting? If so, where? Document where each one is located.

	Yes	No	N/A	Blank
CRCF	1	18	0	1
CTH I	2	18	0	0
CTH II	5	44	0	0
SLP I	3	28	0	0
SLP II	2	21	0	0

2.4. Residential setting staff respects the individual's privacy in their room, and is familiar with and properly implements the policy and procedure to enter an individual's room (e. g., knock, ask to enter, and wait for a response, etc.)

2.4.1. Under what circumstances would an individual's room be accessed without their permission? Please explain.

2.4.2. Are provisions for access discussed with and agreed to by the individual?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	28	1	0	2
SLP II	22	0	0	1

2.4.3. Describe the residential setting's privacy policy and access policy. Please provide a copy.

2.5. Individuals have access to make private telephone calls, send text messages, or send e-mail at the individual's preference and convenience.

2.5.1. Does the individual have a private cell phone, computer, or other personal communication device, or have access to a telephone or other technology device to use for personal communication in private at any time?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	47	2	0	0
SLP I	30	0	0	1
SLP II	23	0	0	0



2.5.2. Is the telephone or other technology device in a location that space around it to ensure privacy?

	Yes	No	N/A	Blank
CRCF.	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

2.5.3. May individuals have a telephone jack, WI-FI or ETHERNET jack installed in their rooms if they choose?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	48	1	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

2.6. *The individual's right to dignity and privacy is protected and respected.*

2.6.1. Is health information about individuals kept private?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	20	0	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

2.6.2. Is health information stored in a central location, locked in a secure area, and only accessible to professional staff? If no, where is it stored?

	Yes	No	N/A	Blank
CRCF	18	1	0	1
CTH I	19	1	0	0
CTH II	46	2	0	1
SLP I	30	1	0	0
SLP II	22	1	0	0

2.6.3. Are schedules on individuals for PT, OT, medications, restricted diet, etc., posted in a general open area for all to view?

	Yes	No	N/A	Blank
CRCF	2	18	0	0
CTH I	0	19	0	1
CTH II	2	47	0	0
SLP I	0	29	0	2
SLP II	1	22	0	0

2.6.4. Are individuals, who need assistance with grooming groomed as they desire?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	49	0	0	0
SLP I	29	0	0	2
SLP II	23	0	0	0

2.6.5. Are individuals, who need assistance to dress, dressed in their own clothes, appropriate to the time of the day and individual preferences?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	49	0	0	0
SLP I	27	1	0	3
SLP II	21	0	0	2

2.6.6. Are individuals wearing clothes that fit, are clean, and are appropriate for the time of day, weather, and preferences?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	0	0	2
SLP I	29	0	0	2
SLP II	21	0	0	2

2.6.7. Are health-related and personal care activities conducted in private locations? Examples: blood pressure readings, personal hygiene, incontinence care, etc.

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	1	0	0
SLP I	29	0	0	2
SLP II	23	0	0	0

### 3. MEALS

3.1. *Individuals have the choice of when to eat.*

3.1.1. Does the individual have a meal at the time and place of his/her choosing?

	Yes	No	N/A	Blank
CRCF	16	4	0	0
CTH I	17	3	0	0
CTH II	42	7	0	0
SLP I	28	3	0	0
SLP II	21	2	0	0

3.1.2. Are snacks accessible and available anytime?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

3.2. *Individuals have a choice of what to eat and are offered a substitute meal if they prefer. Posted menus state that alternate meals are available or list the alternate menu selections.*

3.2.1. How are individuals' preferences incorporated into the residential setting's menu?

3.2.2. Are individuals encouraged and supported to choose from a variety of menu options?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	46	2	0	1
SLP I	26	2	0	3
SLP II	20	1	0	2

3.2.3. Are individuals encouraged and supported to make special menu or meal requests?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	48	1	0	0
SLP I	27	2	0	2
SLP II	21	0	0	2

3.2.4. What restrictions are there for individuals requesting alternate meals?

3.3. *Individuals are given the option to eat in areas other than the dining room, including their private living space, and may choose to eat with persons of their choosing, or alone.*

3.3.1. Are individuals required to sit in an assigned seat for meals?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	0	20	0	0
CTH II	1	48	0	0
SLP I	1	29	0	1
SLP II	1	21	0	1

3.3.2. May individuals eat alone, or with people of their choosing?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	28	1	0	2
SLP II	21	1	0	1

3.3.3. Do individuals converse during meal time, if they choose?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	17	2	0	1
CTH II	49	0	0	0
SLP I	27	2	0	2
SLP II	21	1	0	1

3.3.4. May individuals eat in their private living areas or in areas other than a designated dining room?

	Yes	No	N/A	Blank
CRCF	16	4	0	0
CTH I	16	4	0	0
CTH II	38	11	0	0
SLP I	29	0	0	2
SLP II	21	1	0	1

3.4. *Individuals are free from unnecessary interventions and rules during meal times which may impinge on their ability to eat and drink with dignity and respect.*

3.4.1. Are individuals required to wear bibs or other protective equipment?

	Yes	No	N/A	Blank
CRCF	1	18	0	1
CTH I	4	16	0	0
CTH II	4	45	0	0
SLP I	1	29	0	1
SLP II	0	22	0	1

3.4.2. If a resident requests assistance with eating, is staff available to assist so the individual is not embarrassed at meal time?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	23	5	0	3
SLP II	20	0	0	3

3.4.3. Does the residential setting use home-like dishes, cutlery, and tableware?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	28	2	0	1
SLP II	22	0	0	1

3.4.4. Are individuals required to remain in the dining room/at the table until all residents have completed their meals?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	1	19	0	0
CTH II	2	47	0	0
SLP I	0	29	0	2
SLP II	0	22	0	1

3.5. *Individuals have access to a kitchenette (microwave, refrigerator and sink), a food preparation area (a place to prepare and reheat foods), or a food pantry where they can store snacks that are accessible at any time as they are able.*

3.5.1. Do individuals have ask staff for a snack?

	Yes	No	N/A	Blank
CRCF	10	10	0	0
CTH I	1	19	0	0
CTH II	9	40	0	0
SLP I	1	29	0	1
SLP II	0	22	0	1

3.5.2. Do individuals prepare their own snack as they are able?

	Yes	No	N/A	Blank
CRCF	17	3	0	0
CTH I	18	2	0	0
CTH II	47	2	0	0
SLP I	29	1	0	1
SLP II	18	3	0	2

3.5.3. What amenities are available for individuals to prepare their own snack?

3.5.4. Does the residential setting provide snacks; if so, how do individuals access them?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	49	0	0	0
SLP I	7	22	0	2
SLP II	7	15	0	1

3.5.5. How and where do individuals store snacks/personal food items?

#### 4. ACTIVITIES AND COMMUNITY INTEGRATION

4.1. *Individuals have full access to the community and are allowed to come and go from the residential setting, as they desire, unless the individual's safety would be jeopardized. Reasons to restrict movement are documented in the individual's record. Attempts to mitigate safety issues prior to revoking an individual's right to freedom of movement are documented.*

4.1.1. Does the residential setting impose a curfew, or otherwise restrict individuals' ability to enter or leave the residential setting as they are able?

	Yes	No	N/A	Blank
CRCF	5	15	0	0
CTH I	6	14	0	0
CTH II	9	40	0	0
SLP I	3	28	0	0
SLP II	2	21	0	0

4.1.2. Are individuals able to come and go from the residential setting and its grounds as they are able?

	Yes	No	N/A	Blank
CRCF	17	3	0	0
CTH I	18	2	0	0
CTH II	42	7	0	0
SLP I	28	3	0	0
SLP II	21	2	0	0

4.1.3. Can individuals engage in community and social activities of their preference outside of the residential setting as they are able?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	18	1	0	1
CTH II	49	0	0	0
SLP I	31	0	0	0
SLP II	23	0	0	0

4.1.4. Does the residential setting provide accessible transportation so individuals may access the community?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	26	4	0	1
SLP II	23	0	0	0

4.1.5. Is transportation provided or arranged by the residential setting to community activities?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	0	0	1
CTH II	49	0	0	0
SLP I	25	4	0	2
SLP II	23	0	0	0

4.1.6. How does the residential setting organize appropriate transportation to community activities?

4.1.7. Do individuals have access to public transportation?

	Yes	No	N/A	Blank
CRCF	9	10	0	1
CTH I	12	8	0	0
CTH II	27	22	0	0
SLP I	22	8	0	1
SLP II	14	8	0	1

4.1.8. Does the residential setting offer training to individuals on how to use public transportation?

	Yes	No	N/A	Blank
CRCF	7	3	9	1
CTH I	9	0	10	1
CTH II	19	4	25	1
SLP I	18	0	13	0
SLP II	11	2	10	0

4.1.9. Are public transportation schedules and contact information readily accessible to individuals?

	Yes	No	N/A	Blank
CRCF	4	4	12	0
CTH I	9	0	11	0
CTH II	20	2	26	1
SLP I	16	0	14	1
SLP II	10	3	10	0

4.1.10. Do individuals with physical accessibility needs have access to accessible transportation?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	18	2	0	0
CTH II	20	2	26	1
SLP I	27	0	0	4
SLP II	21	1	0	1

4.1.11. Describe and provide a copy of the residential setting's policies and procedures regarding transportation to community activities.

4.2. *Individuals have the opportunity, but are not required, to participate in scheduled and unscheduled community and social activities. An activities calendar is posted in a common area of the residential setting. Individuals are consulted in selecting, planning and scheduling organized activities.*

4.2.1. Do individuals exercise choice in determining community-based activities (related to objections in their service plan) in which they will participate?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	48	0	0	1
SLP I	31	0	0	0
SLP II	21	0	0	2

4.2.2. How does the residential setting facilitate individual access to community activities?

4.2.3. Where is the activity calendar posted? Attach or send a copy of a picture?

4.2.4. How often is the activity calendar updated?

4.2.5. Does the residential setting organize activities, including wellness activities, or facilitate access to activities of individuals' choosing?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	18	1	0	1
CTH II	49	0	0	0
SLP I	25	4	0	2
SLP II	23	0	0	0

4.2.6. Do individuals shop, attend religious services, schedule appointments, meet family and friends, etc., in the community and at their will and convenience?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	1	0	0
SLP I	30	0	0	1
SLP II	23	0	0	0

4.2.7. Do individuals in the residential setting talk about social and community activities?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	48	0	0	1
SLP I	30	0	0	1
SLP II	23	0	0	0

4.2.8. Are individuals required to participate in group or individual activities?

	Yes	No	N/A	Blank
CRCF	5	15	0	0
CTH I	4	16	0	0
CTH II	12	37	0	0
SLP I	4	26	0	1
SLP II	0	23	0	0

4.2.9. Are individuals encouraged to participate in activities?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	30	0	0	1
SLP II	23	0	0	0

4.3. *Individuals are allowed to choose how to spend their day including sleeping schedule (i.e., wake up and bedtimes, scheduled or unscheduled naps). Individuals are allowed to vary their schedule at will in accordance with their person-centered plan.*

4.3.1. How does the residential setting ensure an individual knows they do not have to conform to a prescribed schedule for activities of daily living and social activities?



4.3.2. Are individuals encouraged and supported to create a personal activities schedule?

	Yes	No	N/A	Blank
CRCF	17	3	0	0
CTH I	19	1	0	0
CTH II	44	4	0	1
SLP I	27	3	0	1
SLP II	21	2	0	0

4.3.3. Are individuals encouraged and supported to initiate and create activities of their choice?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	19	1	0	0
CTH II	49	0	0	0
SLP I	30	0	0	1
SLP II	23	0	0	0

4.3.4. Do individuals' schedules vary from others?

	Yes	No	N/A	Blank
CRCF	18	2	0	0
CTH I	19	1	0	0
CTH II	48	0	0	1
SLP I	29	0	0	2
SLP II	22	0	0	1

4.3.5. Do any residential setting policies or practices inhibit individuals' choice?

	Yes	No	N/A	Blank
CRCF	0	20	0	0
CTH I	0	20	0	0
CTH II	6	43	0	0
SLP I	2	28	0	1
SLP II	0	23	0	0

4.4. *Individuals have the option to seek and gain competitive employment in the community.*

4.4.1. How does the residential setting support/accommodate individuals who wish to pursue competitive employment in the community?

## 5. RESPECT, RIGHTS, AND CHOICE

5.1. *Individuals have the option to keep their own money and to control their own finances/resources.*

5.1.1. Do individuals have the option of having personal bank accounts?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	1	0	0
SLP I	28	3	0	0
SLP II	22	1	0	0

5.1.2. How do individuals access their personal funds?

5.1.3. How does the residential setting ensure individuals understand they are not required to sign over their personal resources to the provider?

5.1.4.If the residential setting is representative payee, are individuals included in the decision making related to finances as they are able?

	Yes	No	N/A	Blank
CRCF	19	0	0	1
CTH I	19	0	0	1
CTH II	49	0	0	0
SLP I	29	1	0	1
SLP II	23	0	0	0

5.2. *Information is available to individuals on how to file an anonymous complaint. Telephone numbers for the Department of Health and Environmental Control, Long-Term Care Ombudsman and other regulating bodies, and information for reporting Abuse, Neglect and Exploitation are posted in a common area of the residential setting.*

5.2.1.How does the residential setting make information about how to register an anonymous complaint available to individuals?

5.2.2.Is information about filing complaints posted in obvious and accessible areas?

	Yes	No	N/A	Blank
CRCF	19	1	0	0
CTH I	11	9	0	0
CTH II	44	4	0	1
SLP I	23	6	0	2
SLP II	18	5	0	0

5.3. *Individuals have the right to live in an environment free from coercion, and to exercise their right to choice and self-determination.*

5.3.1.Are individuals allowed to voice grievances to the residential setting staff, public officials, the ombudsman, or any other person, without fear of reprisal, retaliation, restraint, interference or coercion?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	0	0	2
SLP I	30	0	0	1
SLP II	23	0	0	0

5.3.2.How does the residential setting ensure residents are allowed to voice grievances without fear of reprisal, retaliation, restraint, interference, or coercion? Please explain or provide a copy of the setting's policy and procedures on grievances.

5.3.3.Do individuals in the setting display different personal styles, haircuts, etc.?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	31	0	0	0
SLP II	23	0	0	0

5.4. Staff treats individuals in a dignified manner.

5.4.1. Do staff greet and chat with individuals?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	0	0	2
SLP I	31	0	0	0
SLP II	23	0	0	0

5.4.2. Are the needs met for individuals who need a hearing aide, eyeglasses, walkers, or other assistive devices?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	0	0	2
SLP I	28	0	0	3
SLP II	23	0	0	0

5.4.3. Does the staff verbally communicate with individuals in a loud tone? If yes, please explain.

	Yes	No	N/A	Blank
CRCF	2	17	0	1
CTH I	0	20	0	0
CTH II	5	44	0	0
SLP I	4	26	0	1
SLP II	9	14	0	0

5.4.4. Does staff converse with individuals while providing assistance or services and during the course of the day?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	0	0	2
SLP I	28	2	0	1
SLP II	21	1	0	1

5.4.5. Do staff talk to other staff in front of individuals as if the individual is not there?

	Yes	No	N/A	Blank
CRCF	1	19	0	0
CTH I	0	20	0	0
CTH II	3	44	0	1
SLP I	0	30	0	1
SLP II	2	21	0	0

5.4.6. Does staff address individuals in the manner they like to be addressed?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	1	0	0
CTH II	48	0	0	1
SLP I	30	1	0	0
SLP II	23	0	0	0

5.5. *Individual choices are accounted for and honored unless the individual's safety would be jeopardized and in accordance with the person-centered plan.*

5.5.1. Does staff ask the individual about their needs and preferences?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	31	0	0	0
SLP II	23	0	0	0

5.5.2. How are individuals made aware of the process for making service requests?

5.5.3. Is individual choice facilitated such that the individual feels empowered to make decisions?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	30	0	0	1
SLP II	23	0	0	0

5.5.4. Do individuals have the option to choose from whom they receive services and supports?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	30	0	0	1
SLP II	23	0	0	0

5.5.5. How are individual requests accommodated?

5.6. *Individuals and/or their representatives are active participants in the service planning process. Planning meetings occur at times convenient to the individual/representative.*

5.6.1. How does the setting post or provide information to individuals/representative(s) about how to request and schedule a planning meeting?

5.6.2. Was the individual/representative(s) present during the last plan meeting?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	18	2	0	0
CTH II	45	3	0	1
SLP I	29	2	0	0
SLP II	23	0	0	0

5.6.3. Do individuals participate in their plan meetings?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	30	1	0	0
SLP II	23	0	0	0

5.6.4. Is the individual's input reflected in the service plan?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	48	0	0	1
SLP I	30	1	0	0
SLP II	23	0	0	0

**6. OTHER**

6.1. *The individual has the same landlord/tenant protections, is protected from eviction, and afforded the same appeal rights as persons not receiving Medicaid HCB services.*

6.1.1. Does the individual have a lease, or settings in which landlord/tenant laws do not apply, a residency agreement? Please provide a copy of the current agreement.

	Yes	No	N/A	Blank
CRCF	15	5	0	0
CTH I	12	8	0	0
CTH II	28	19	0	2
SLP I	23	7	0	1
SLP II	18	5	0	0

6.1.2. How are individuals made aware of their housing rights?

6.1.3. How are individuals made aware of the process of relocating and requesting new housing?

6.2. *Modifications to the HCBS settings requirements are supported by an assessed need and justified in the individual's service plan/care plan.*

6.2.1. What factors are considered before restricting an individual's rights?

6.2.2. Does the setting prepare documentation if an individual's rights have to be restricted? If yes, what type?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	20	0	0	0
CTH II	47	1	0	1
SLP I	28	2	0	1
SLP II	22	1	0	0

6.2.3. Does the individual provide informed consent for the modification or restriction?

	Yes	No	N/A	Blank
CRCF	20	0	0	0
CTH I	19	0	0	1
CTH II	48	0	0	1
SLP I	27	2	0	2
SLP II	23	0	0	0

6.2.4. How often is the restriction reviewed to determine if it is still needed?